Andrews Harold W. Jr. Form 4 September 05, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

Number:

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

(Middle)

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Andrews Harold W. Jr.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Sabra Health Care REIT, Inc.

(Check all applicable)

Executive VP, CFO & Secretary

[SBRA]

(Last) (First) 3. Date of Earliest Transaction

X\_ Officer (give title below)

10% Owner Other (specify

(Month/Day/Year) 08/31/2018

C/O SABRA HEALTH CARE REIT, INC., 18500 VON KARMAN

(Street)

**AVENUE, SUITE 550** 

4. If Amendment, Date Original

3.

Director

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

**IRVINE, CA 92612** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(9-02)

(A)

4. Securities

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount Price (D)

Common Stock

08/31/2018

1,332 \$0 Α

314,220 (2)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Andrews Harold W. Jr. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 6. Date Exerc       | cisable and     | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|---------------------|-----------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D        | ate             | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day/         | Year)           | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | (Instr. 8) Derivative  |                     |                 | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |                     |                 | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                   |                        |                     |                 |          |          |             | Follo  |
|             | ·           |                     |                    |                   | (A) or                 |                     |                 |          |          |             | Repo   |
|             |             |                     |                    |                   | Disposed               |                     |                 |          |          |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |                     |                 |          |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |                     |                 |          |          |             |        |
|             |             |                     |                    |                   | 4, and 5)              |                     |                 |          |          |             |        |
|             |             |                     |                    |                   |                        |                     |                 |          | A        |             |        |
|             |             |                     |                    |                   |                        |                     |                 |          | Amount   |             |        |
|             |             |                     |                    |                   |                        | Date<br>Exercisable | Expiration Date |          | or       |             |        |
|             |             |                     |                    |                   | (A) (B)                |                     |                 | of       | Number   |             |        |
|             |             |                     |                    | ~                 |                        |                     |                 |          |          |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |                     |                 |          | Shares   |             |        |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Andrews Harold W. Jr. C/O SABRA HEALTH CARE REIT, INC. 18500 VON KARMAN AVENUE, SUITE 550 IRVINE, CA 92612

Executive VP, CFO & Secretary

# **Signatures**

/s/ Harold W. Andrews, Jr.

09/05/2018

\*\*Signature of Reporting

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents stock units credited to the reporting person in the form of dividend equivalent payments on stock units previously granted to the reporting person that are outstanding under the Issuer's 2009 Performance Incentive Plan, calculated on the basis of the market value of the Issuer's common stock on the dividend payment date. These units will vest and become payable on the same terms as the original stock units to which they relate.
- (2) Includes 71,134 stock units that, upon settlement, will be paid on a one-for-one basis in shares of the Issuer's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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