Edgar Filing: ENGLOBAL CORP - Form 4

ENICLODAL CODD

Form 4	L CORP										
January 12, 2	2017										
FORM	14								OMB AF	PROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549						OMMISSION	OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or				CHANGES IN BENEFICIAL OWNERSHIP SECURITIES					Expires:	January 31, 2005	
								NERSHIP OF	Estimated average burden hours per response 0.5		
Form 5 obligation may cont <i>See</i> Instru 1(b).	inue. Section 17(a) of the l	Public Ut		ling Con	ipany	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type R	Responses)										
Hess Mark Allen Symbol								5. Relationship of Reporting Person(s) to Issuer			
1				BAL CO	_	[t		(Check all applicable)			
(Month				Date of Earliest Transaction onth/Day/Year) /10/2017				Director 10% Owner X_Officer (give title Other (specify below) CFO and Treasurer			
			4. If Ame	ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check			
			Filed(Mor					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
11005101	,, 1A //000							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	01/10/2017			S	5,269 (1)	D	\$ 2.399 (2)	285,731	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Title Amoun Underl Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Relationships					
Director	10% Owner	Officer	Other		
		CFO and Treasurer			
len	01/12/2	2017			
	Date				
	2	Director 10% Owner	Director 10% Owner Officer CFO and Treasurer		

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold to pay tax liability associated with the final vesting of shares awarded on January 8, 2014.

The price reported is a weighted average price per share. The shares were sold in multiple transactions at prices ranging from \$2.30 -

\$2.59 inclusive. The reporting person undertakes to provide to ENGlobal Corporation, any of its stockholders or the staff of the Securities (2) and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.