#### Edgar Filing: MATTEL INC /DE/ - Form 3

MATTEL INC /DE/ Form 3 November 09, 2010

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MATTEL INC /DE/ [MAT] SADIGH MANDANA (Month/Day/Year) 11/01/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 333 CONTINENTAL (Check all applicable) **BOULEVARD** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **SVP** Treasurer Person EL SEGUNDO, Â CAÂ 90245 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common stock 12,276 Common stock 2,213 I In 401(k) (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock option - right to buy - Granted on 5/22/02	05/22/2005	05/22/2012	Common stock	30,000	\$ 20.04	D	Â
Stock option - right to buy - Granted on 7/31/03	12/28/2005	07/31/2013	Common stock	30,000	\$ 19.43	D	Â
Stock option - right to buy - Granted on 4/30/04	12/28/2005	04/30/2014	Common stock	25,000	\$ 16.96	D	Â
Stock option - right to buy - Granted on 8/1/05	12/28/2005	08/01/2015	Common stock	30,000	\$ 18.71	D	Â
Stock option - right to buy - Granted on 8/1/06	08/01/2009	08/01/2016	Common stock	7,500	\$ 17.94	D	Â
Stock option - right to buy - Granted on 8/1/07	08/01/2010	08/01/2017	Common stock	5,625	\$ 23.58	D	Â
Stock option - right to buy - Granted on 8/1/08	(2)	08/01/2018	Common stock	9,763	\$ 20.48	D	Â
Restricted stock units - Granted on 8/1/08	(3)	(3)	Common stock	3,614	\$ (4)	D	Â
Stock option - right to buy - Granted on 7/31/09	(2)	07/31/2019	Common stock	9,214	\$ 17.58	D	Â
Restricted stock units - Granted on 7/31/09	(3)	(3)	Common stock	7,736	\$ (4)	D	Â
Stock option - right to buy - Granted on 8/2/10	(5)	08/02/2020	Common stock	7,338	\$ 21.5	D	Â
Restricted stock units - Granted on 8/2/10	(3)	(3)	Common stock	6,512	\$ <u>(6)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Kelationships				
	Director	10% Owner	Officer	Other	
SADIGH MANDANA 333 CONTINENTAL BOULEVARD EL SEGUNDO, CA 90245	Â	Â	SVP Treasurer	Â	

### **Signatures**

/s/ Andrew Paalborg, Attorney-in-Fact for Mandana Sadigh

\*\*Signature of Reporting Person

Reporting Owners 2

Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of November 5, 2010, the reporting person had a balance of \$52,987 in the Mattel Stock Fund of Mattel's 401(k) plan, the Personal Investment Plan ("PIP"). The number of shares has been calculated by the plan administrator for the PIP.
- The option was granted pursuant to the Mattel, Inc. 2005 Equity Compensation Plan (the "2005 Plan"). The option vests and becomes exercisable with regard to (a) 33% of the shares granted on the first anniversary of the date of grant, (b) an additional 33% of the shares granted on the second anniversary of the date of grant, and (c) the remaining 34% of the shares granted on the third anniversary of the date of grant.
- The RSUs vest as to 50% of the Units granted on the second anniversary of the date of grant and as to the remaining 50% of the Units granted on the third anniversary of the date of grant. On each vesting date, for each Unit vesting on such date, the reporting person will receive one share of Mattel common stock (or, at the election of Mattel, a cash amount equal to the fair market value of one share of common stock on the date of vesting), subject to tax withholding.
- The RSUs were granted pursuant to the 2005 Plan. Each Unit represents a contingent right to receive one share of Mattel common stock (4) (or, at the election of Mattel, a cash amount equal to the fair market value of such share). The RSUs are accompanied by dividend equivalent rights.
- The option was granted pursuant to the Mattel, Inc. 2010 Equity and Long-Term Compensation Plan (the "2010 Plan"). The option vests and becomes exercisable with regard to (a) 33% of the shares granted on the first anniversary of the date of grant, (b) an additional 33% of the shares granted on the second anniversary of the date of grant, and (c) the remaining 34% of the shares granted on the third anniversary of the date of grant.
- The RSUs were granted pursuant to the 2010 Plan. Each Unit represents a contingent right to receive one share of Mattel common stock (6) (or, at the election of Mattel, a cash amount equal to the fair market value of such share). The RSUs are accompanied by dividend equivalent rights.

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#### **Remarks:**

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.