

Avago Technologies LTD
Form 4
June 09, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ingram Bryan

(Last) (First) (Middle)

C/O AVAGO TECHNOLOGIES US INC., 1320 RIDDER PARK DRIVE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction (Month/Day/Year)
06/05/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Ordinary Shares	06/05/2015		M ⁽¹⁾	6,667 A \$ 35.45	136,915 ⁽²⁾	D	
Ordinary Shares	06/05/2015		M ⁽¹⁾	8,000 A \$ 62.02	144,915 ⁽²⁾	D	
Ordinary Shares	06/05/2015		M ⁽¹⁾	25,000 A \$ 32.39	169,915 ⁽²⁾	D	
Ordinary Shares	06/05/2015		S ⁽¹⁾	3,942 D \$ 139.95 ⁽³⁾	165,973 ⁽²⁾	D	
Ordinary Shares	06/05/2015		S ⁽¹⁾	11,314 D \$ 141.34 ⁽⁴⁾	154,659 ⁽²⁾	D	

Edgar Filing: Avago Technologies LTD - Form 4

Ordinary Shares	06/05/2015	S ⁽¹⁾	8,467	D	\$ 142.15 ₍₅₎	146,192 ₍₂₎	D
Ordinary Shares	06/05/2015	S ⁽¹⁾	21,700	D	\$ 143.36 ₍₆₎	124,492 ₍₂₎	D
Ordinary Shares	06/05/2015	S ⁽¹⁾	3,000	D	\$ 143.85 ₍₇₎	121,492 ₍₂₎	D
Ordinary Shares	06/09/2015	S ⁽¹⁾	55	D	\$ 139.3781	121,437 ₍₂₎	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D S (I)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 35.45	06/05/2015		M	6,667	<u>(8)</u>	03/11/2020	Ordinary Shares	6,667
Stock Option (Right to Buy)	\$ 62.02	06/05/2015		M	8,000	<u>(8)</u>	03/10/2021	Ordinary Shares	8,000
Stock Option (Right to Buy)	\$ 32.39	06/05/2015		M	25,000	<u>(9)</u>	03/07/2018	Ordinary Shares	25,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Ingram Bryan
C/O AVAGO TECHNOLOGIES US INC.
1320 RIDDER PARK DRIVE
SAN JOSE, CA 95131

Chief Operating Officer

Signatures

/s/Patricia H. McCall, Attorney-in-Fact for Bryan
Ingram

06/09/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transactions made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) Includes 104,333 Restricted Share Units.

(3) Transaction executed in multiple trades at prices ranging from \$139.56 to \$140.54 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(4) Transaction executed in multiple trades at prices ranging from \$140.73 to \$141.72 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(5) Transaction executed in multiple trades at prices ranging from \$141.73 to \$142.70 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(6) Transaction executed in multiple trades at prices ranging from \$142.81 to \$143.80 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(7) Transaction executed in multiple trades at prices ranging from \$143.81 to \$143.95 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(8) Share price performance option (the "Option") granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan. The Option vests over four years, with 25% vesting on each anniversary of the date of grant. The exercisability requirements for the Option have been met.

(9) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.