Hill Trevor T Form 4 March 20, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hill Trevor T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Global Water Resources, Inc.

(Check all applicable)

[GWRS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

10% Owner \_\_ Other (specify

(Month/Day/Year) C/O GLOBAL WATER 03/19/2018

RESOURCES, INC., 21410 N 19TH

(Street)

**AVENUE #220** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PHOENIX, AZ 85027

(City)	(State) (Z	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	03/19/2018		Code V	Amount 2,000	(A) or (D)	Price \$ 9.07	Reported Transaction(s) (Instr. 3 and 4)	D			
Stock	03/19/2016		3	2,000	ט	9.07 (1) \$	20,913	D			
Common Stock	03/19/2018		S	1,000	D	9.08 (1)	25,915	D			
Common Stock	03/19/2018		S	300	D	\$ 9.12 (1)	25,615	D			

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Common Stock S 2,000 D 9.07 23,615 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amount Underly Securiti (Instr. 3	t of ying ies	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares	

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hill Trevor T C/O GLOBAL WATER RESOURCES, INC. 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027

## **Signatures**

/s/ Joanne Ellsworth, attorney-in-fact 03/20/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

(1) On March 19, 2018, Mr. Hill sold a total of 3,300 shares on the Toronto Stock Exchange, where Global Water Resources, Inc. trades under ticker symbol GWR.TO. All share prices have been converted from CAD to USD using an exchange rate of 0.76315.

**(2)** 

Reporting Owners 2

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On March 20, 2018, Mr. Hill sold a total of 2,000 shares on the Toronto Stock Exchange, where Global Water Resources, Inc. trades under ticker symbol GWR.TO. All share prices have been converted from CAD to USD using an exchange rate of 0.76375.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.