MidWestOne Financial Group, Inc.

Form 4 May 15, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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5. Relationship of Reporting Person(s) to

See Instruction 1(b).

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \*

POTHOVEN JOHN P		Syn	2. Issuer Name and Ticker or Trading Symbol MidWestOne Financial Group, Inc.					Issuer			
			[MOFG]					(Check all applicable)			
(Last)	(First) (1		3. Date of Earliest Transaction (Month/Day/Year)			X Director 10% Owner Officer (give title Other (specify					
102 S. CLINTON STREET, P.O. BOX 1700		P.O. 05/	05/15/2013					below)	below)		
(Street) 4. If Amendment, Date Origina Filed(Month/Day/Year)			l		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
IOWA CIT	Y, IA 52244-1700	0						Form filed by Person	by More than One	e Reporting	
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	tion Date, if		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			C	Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	05/15/2013			A	500 (1)	A	\$0	27,754	D		
Common Stock								300	I	Self/UTMA Custodian Minor Grandchild	
Common Stock								52,705	I	By IRA	

3,172

Ι

By Spouse

Stock

Common	1,216 (3)	т	By Spouse's
Stock	1,210 (3)	1	ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 16.69					04/01/2009(4)	04/01/2018	Common Stock	500
Stock Option (Right to Buy)	\$ 9.34					01/22/2010(5)	01/22/2019	Common Stock	500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
POTHOVEN JOHN P							
102 S. CLINTON STREET	X						
P.O. BOX 1700	Λ						
IOWA CITY, IA 52244-1700							

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### **Signatures**

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

05/15/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were acquired pursuant to a grant of restricted stock units which vest on May 15, 2014.
- Registered to self as custodian for minor grandchild under Uniform Transfers to Minors Act. The reporting person disclaims beneficial (2) ownership of these shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of these
- (2) ownership of these shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (3) Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as allocated to reporting person's spouse's account through May 14, 2013. Number of shares reported has increased by 247 due to shares allocated since the previous Form 4.
- (4) The option vests in four equal annual installments beginning on April 1, 2009.
- (5) The option vests in four equal annual installments beginning on January 22, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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