Edgar Filing: ALLEN MARY TEDD - Form 4

| ALLEN MA | RY TEDD | | | | | | | | | | |
|--|------------------------------------|------------------------------------|--|---|---------------------------------------|---|---------------------|--|--|--------------|--|
| Form 4 March 11, 20 | 110 | | | | | | | | | | |
| FORM | ГЛ | | SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | 3235-028 | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> ALLEN MARY TEDD | | | 2. Issuer Name and Ticker or Trading Symbol NanoString Technologies Inc | | | | ng | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | [NSTG] | | | | | (Check all applicable) | | | |
| (M | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/07/2019 | | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) SVP, Operations | | | |
| | | | | If Amendment, Date Original ed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | | | • .• | a | •.• • | Person | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ate 2A. Deer r) Executio any | | 3. Transactic Code (Instr. 8) Code V | 4. Securi n(A) or Di (Instr. 3, | ties A ispose | cquired d of (D) | uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | |
| Common Stock | 03/07/2019 | | | M | 2,666 | A | <u>(1)</u> | 19,112 | D | | |
| Common Stock | 03/07/2019 | | | F | 701 (2) | D | \$ 28.49 | 18,411 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|--|--|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(3)</u> | 03/07/2019 | | М | 2,666 | <u>(4)</u> | (4) | Common Stock | 2,666 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-----------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| ALLEN MARY TEDD 530 FAIRVIEW AVENUE N SEATTLE, WA 98109 | | | SVP, Operations | | | | |
| Signatures | | | | | | | |
| /s/ Shannon Atchison, Attorney-in-fact | 03/11/2019 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units ("RSUs") convert into Common Stock on a one-for-one basis.
- (2) The reported shares were withheld to cover the reporting person's tax liability in connection with the vesting of RSUs. The shares were not issued to or sold by the reporting person.
- (3) Each RSU represents a contingent right to receive one (1) share of Issuer's common stock.
- On February 6, 2017, the reporting person was granted 8,000 RSUs, vesting in three equal installments beginning on the first market
 (4) trading day following the first anniversary of March 6, 2017 (the "RSU Vesting Commencement Date") and 1/3 of the RSUs vest annually each year on the first market trading day after the second and third anniversary of the RSU Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.