CVR ENERGY INC

Form 4 July 18, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

1.Title of

Security

(Instr. 3)

Common

Common

Stock

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ball Susan M.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

2. Transaction Date 2A. Deemed

CVR ENERGY INC [CVI]

(Check all applicable)

C/O CVR ENERGY, INC., 2277

(State)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Other (specify

07/16/2013

X\_ Officer (give title below) CFO and Treasurer

PLAZA DRIVE, SUITE 500

4. If Amendment, Date Original

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

D

(Instr. 4)

SEC 1474

(9-02)

6. Individual or Joint/Group Filing(Check

Person

(Street) Filed(Month/Day/Year)

SUGAR LAND, TX 77479

3. 4. Securities 5. Amount of 6. Ownership 7. Nature of (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Reported (A) Transaction(s) or

(D)

(Instr. 3 and 4) Price

Following

\$ 30 3,709 07/16/2013(1) M 3,709 A

07/16/2013(1) D \$30 0 D 3,709 D

Code V Amount

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	07/16/2013		M	3,709	07/16/2013	07/16/2013	Common Stock	3,709

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ball Susan M. C/O CVR ENERGY, INC. 2277 PLAZA DRIVE, SUITE 500 SUGAR LAND, TX 77479

CFO and Treasurer

### **Signatures**

/s/ Edmund S. Gross,

Attorney-in-fact 07/18/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents the settlement of vested Restricted Stock Units in cash by the company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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