

APACHE CORP
Form 4
January 05, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHRISTMANN JOHN J

(Last) (First) (Middle)
2000 POST OAK BLVD., SUITE 100
(Street)

HOUSTON, TX 77056-4400

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
APACHE CORP [APA]

3. Date of Earliest Transaction (Month/Day/Year)
01/04/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO and President

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 01/04/2017 | | M ⁽¹⁾ | | 1,448 A \$ 0 | D | |
| Common Stock | 01/04/2017 | | F ⁽²⁾ | | 608 D \$ 63.47 | D | |
| Common Stock | 01/04/2017 | | M ⁽³⁾ | | 6,498 A \$ 0 | D | |
| Common Stock | 01/04/2017 | | F ⁽⁴⁾ | | 2,726 D \$ 63.47 | D | |
| Common Stock | | | | | 1,388.729 | I | By JJC IV 1984 Trust |

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| | | | |
|--------------|------------|---|--------------------------------|
| Common Stock | 2,888.208 | I | Held by Trustee of 401(k) Plan |
| Common Stock | 32,316.939 | I | Held by Trustee of NQ Plan |
| Common Stock | 528 | I | By JJC V 1998 Trust |
| Common Stock | 528 | I | By CAC 1998 Trust |
| Common Stock | 528 | I | By CEC 2003 Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--------|-----|--|------------------|---|--------------------------------------|
| | | | | | Code | V | (A) | (D) | Date Exercisable | | Expiration Date |
| Restricted Stock / Units ⁽⁵⁾ | \$ 0 ⁽⁶⁾ | 01/04/2017 | | A | | 10,241 | | ⁽⁷⁾ | ⁽⁷⁾ | Common Stock | Amount or Number of Shares 10,241 |
| Restricted Stock / Units ⁽⁵⁾ | \$ 0 ⁽⁶⁾ | 01/04/2017 | | M | | 1,448 | | ⁽¹⁾ | ⁽¹⁾ | Common Stock | 1,448 |
| Restricted Stock / Units ⁽⁵⁾ | \$ 0 ⁽⁶⁾ | 01/04/2017 | | M | | 6,498 | | ⁽³⁾ | ⁽³⁾ | Common Stock | 6,498 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

CHRISTMANN JOHN J
2000 POST OAK BLVD.
SUITE 100
HOUSTON, TX 77056-4400

X

CEO and President

Signatures

Raj Sharma,
Attorney-in-Fact

01/05/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (2) Shares withheld to cover required tax withholding on RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (3) RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (4) Shares withheld to cover required tax withholding on RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (5) With tandem tax withholding right
- (6) One share of Apache common stock for each restricted stock unit.
- (7) Restricted stock units granted 12/30/2016 under employer plan - data provided by plan administrator on 01/04/2017. The units vest 100% on 01/01/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.