

CIRRUS LOGIC INC
Form 4
November 08, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ANDERSON SCOTT ARNOLD

(Last) (First) (Middle)
800 WEST 6TH STREET
(Street)

AUSTIN, TX 78701

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CIRRUS LOGIC INC [CRUS]

3. Date of Earliest Transaction
(Month/Day/Year)
10/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SVP & GM Mixed Signal Audio

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Common Stock | 10/06/2013 | | M | | 17,500 | A | \$ 0 ⁽¹⁾ |
| Common Stock | 10/06/2013 | | F | | 6,305 | D | \$ 0 ⁽¹⁾ |
| Common Stock | 11/06/2013 | | M | | 33,967 | A | \$ 5.67 |
| Common Stock | 11/06/2013 | | S | | 33,967 | D | \$ 22.8537 |
| Common Stock | 11/06/2013 | | M | | 14,167 | A | \$ 5.25 |

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Common Stock 11/06/2013 S 14,167 D \$ 22.8537 31,195 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Incentive Stock Option (right to buy) | \$ 5.25 | 11/06/2013 | | M | 14,167 | 10/01/2009 10/01/2018 ⁽²⁾ | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 5.67 | 11/06/2013 | | M | 33,967 | 11/07/2008 11/07/2017 ⁽³⁾ | Common Stock |
| Restricted Stock Units | ⁽¹⁾ | 10/06/2013 | | M | 17,500 | 10/06/2013 ⁽⁴⁾ | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ANDERSON SCOTT ARNOLD 800 WEST 6TH STREET AUSTIN, TX 78701 | | | SVP & GM Mixed Signal Audio | |

Signatures

By: Gregory Scott Thomas attorney-in-fact For: Scott Anderson 11/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit was the economic equivalent of one share of common stock. The restricted stock unit vested on October 6, 2013, and the Company withheld sufficient shares for payment of required tax liabilities
- (2) Only vested shares can be exercised under this option. 25% of the shares vested and became exercisable on 10/01/09. The remaining 75% of shares vested monthly over the following 36 months so that the option was fully vested and exercisable on 10/01/12.
- (3) Only vested shares can be exercised under this option. 25% of the shares vested and became exercisable on 11/07/08. The remaining 75% of shares vested monthly over the following 36 months so that the option was fully vested and exercisable on 11/07/11.
- (4) Expiration date of 10/6/13

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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