#### Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

#### PEAPACK GLADSTONE FINANCIAL CORP

Form 4

November 30, 2016

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

nstruction 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad BILOTTA A	•	_	2. Issuer Name <b>and</b> Ticker or Trading Symbol PEAPACK GLADSTONE FINANCIAL CORP [PGC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 500 HILLS D BOX 700	(First)  PRIVE, SUI	(Middle) TE 300, PO	3. Date of Earliest Transaction (Month/Day/Year) 11/30/2016	Director 10% Owner Selection Officer (give title Other (specify below) below)  EVP Dir of Retail Banking/Mark			
(Street) BEDMINSTER, NJ 07921-1538			4. If Amendment, Date Original  Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reporting  Form filed by More than One Person				
(City)	(State)	(Zip)	Tabla I - Non-Darivativa Sacuritias Ac	quired Disposed of or Repeticially Owned			

(City)	(State)	e) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	11/30/2016		M	1,000	A	\$ 17.17	24,314	D			
Common Stock	11/30/2016		S	1,000	D	\$ 27.45	23,314	D			
Common Stock							1,234.0324	I	Employee Stock Purchase Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number ction Derivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 17.17	11/30/2016		M		1,000	09/16/2016	09/16/2023	Common Stock	1,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BILOTTA ANTHONY V JR 500 HILLS DRIVE, SUITE 300 PO BOX 700 BEDMINSTER, NJ 07921-1538

EVP Dir of Retail Banking/Mark

### **Signatures**

Anthony V. Bilotta, Jr.

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option grant has no purchase or sale price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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