### Edgar Filing: Funk Charles N - Form 4

Funk Charles Form 4	N										
December 08	, 2011										
FORM	Δ <b>΄</b>									PROVAL	
	UNITED	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								3235-0287	
Check thi if no long subject to Section 1 Form 4 or	er <b>STATEM</b> 6.									Expires: January 31 2005 Estimated average burden hours per response 0.5	
Form 5 obligation may conti <i>See</i> Instru 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section										
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Funk Charles N			2. Issuer Name <b>and</b> Ticker or Trading Symbol				-	5. Relationship of Reporting Person(s) to Issuer			
			MidWestOne Financial Group, Inc. [MOFG]					(Check all applicable)			
(Month.			(Month/D	e of Earliest Transaction th/Day/Year)				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)			
BOX 1700	TON SIKEEI, I	2.0.	12/08/20	)11				Presi	ident and CEO		
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
IOWA CITY	Z, IA 52244-1700	)						Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securi n(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price ¢	(Instr. 3 and 4)			
Common Stock	12/08/2011			Р	500	А	ъ 14.31	50,425	D		
Common Stock								1,553 <u>(1)</u>	Ι	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Funk Charles N - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	. Date Exercisable and xpiration Date7. Title and Amount o Underlying Securities (Instr. 3 and 4)		Securities	8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 16.69					(2)	04/01/2018	Common Stock	6,000	
Employee Stock Option (Right to Buy)	\$ 9.34					<u>(3)</u>	01/22/2019	Common Stock	15,000	

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
1 0	Director	10% Owner	Officer	Other			
Funk Charles N 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	Х		President and CEO				

## Signatures

 Kenneth R. Urmie, under Power of Attorney dated January 22,

 2009
 12/08/2011

 \_\_\*\*Signature of Reporting Person
 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as allocated to reporting person's account through October 31, 2011.

(2) The option vests in four equal annual installments beginning on April 1, 2009.

### Edgar Filing: Funk Charles N - Form 4

(3) The option vests in four equal annual installments beginning on January 22, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.