#### Edgar Filing: PAULSEN BRADLEY - Form 3

#### PAULSEN BRADLEY

Form 3

September 14, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HD Supply Holdings, Inc. [HDS]  **PAULSEN BRADLEY** (Month/Day/Year) 09/06/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3400 CUMBERLAND BLVD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting Officer Other Person (give title below) (specify below) ATLANTA, GAÂ 30339 Form filed by More than One Pres, HDS Facilities Main Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 4,081 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Options (right to buy) (1)	(2)	03/09/2026	Common Stock	5,702	\$ 27.88	D	Â
Stock Options (right to buy) (1)	(3)	11/10/2026	Common Stock	7,102	\$ 36.93	D	Â
Stock Options (right to buy) (1)	(4)	02/28/2027	Common Stock	4,924	\$ 43	D	Â
Stock Options (right to buy) (1)	(5)	08/16/2027	Common Stock	4,873	\$ 30.56	D	Â
Stock Options (right to buy) (1)	(6)	03/08/2028	Common Stock	5,964	\$ 36.54	D	Â
Restricted Stock (1)	(7)	11/19/2019	Common Stock	3,601	\$ 0	D	Â
Restricted Stock (1)	(8)	03/09/2020	Common Stock	1,085	\$ 0	D	Â
Restricted Stock (1)	(9)	11/10/2020	Common Stock	2,031	\$ 0	D	Â
Restricted Stock (1)	(10)	02/28/2021	Common Stock	1,247	\$ 0	D	Â
Restricted Stock (1)	(11)	08/16/2021	Common Stock	1,227	\$ 0	D	Â
Restricted Stock (1)	(12)	03/08/2022	Common Stock	2,069	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
PAULSEN BRADLEY 3400 CUMBERLAND BLVD ATLANTA, GA 30339	Â	Â	Pres, HDS Facilities Main	Â		

# **Signatures**

Rita L. Fadell, Attorney-in-Faact for Bradley
Paulsen

09/14/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All stock options and restricted stock awards were granted under the HD Supply Holdings, Inc. Omnibus Incentive Plan and vest in four equal annual installments beginning on the first anniversary of the grant date, subject to continued employment through the vesting date. Upon retirement at or after age 62 with five years of continuous service, the awards continue to vest as scheduled, subject to the

Reporting Owners 2

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agreement of the reporting person not to engage in solicitation or competitive activity with the Company.

- (2) 2,851 option shares are fully vested; 1,425 and 1,426 option shares vest on 03/09/2019 and 03/09/2020, respectively.
- (3) 1.775 option shares are fully vested; 1,776 option shares vest on each of 11/10/2018 and 11/10/2020; and 1,775 option shares will vest on 11/10/2019.
- (4) 1,231 option shares are fully vested; 1,231 option shares will vest on each of 02/28/2019, 02/28/2020, and 01/28/2021.
- (5)  $\frac{1,218 \text{ option shares are fully vested; } 1,218 \text{ option shares vest on each of } 08/16/2019 \text{ and } 8/16/2020, \text{ and } 1,219 \text{ option shares will vest on } 08/16/2021.$
- (6) 1,491 option shares vest on each of 03/08/2019, 03/08/2020, 03/08/2021, and 03/08/2022.
- (7) 1,800 restricted shares will vest on 11/19/2018 and 1,801 restricted shares will vest on 11/19/2019.
- (8) 542 restricted shares will vest on 03/09/2019 and 543 restricted shares will vest on 03/09/2020.
- (9) 677 restricted shares will vest on each of 11/18/2018, 11/10/2019, and 11/10/2020.
- (10) 416 restricted shares will vest on each of 02/28/2019 and 02/28/2021, and 415 restricted shares will vest on 02/29/2020.
- (11) 409 restricted shares will vest on each of 08/16/2019, 08/16/2020, and 08/16/2021.
- (12) 517 restricted shares will vest on each of 03/08/2019, 03/08/2020, and 03/08/2021; 518 restricted shares will vest on 03/08/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.