## Edgar Filing: Hofmeister Brandon J. - Form 4

| Hofmeister B<br>Form 4<br>January 23, 2   |  |   |   |                 |                     |   |  |  |  |  |
|---|--|---|---|-----------------|---------------------|---|--|--|--|--|
| Check thi<br>if no long<br>subject to<br>Section 10<br>Form 4 or<br>Form 5<br>obligation<br>may conti | FORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See InstructionSTATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |   |                 |                     |   |  | PROVAL<br>3235-0287<br>January 31,<br>2005<br>average<br>rs per<br>0.5 |  |  |
| (Print or Type R  | desponses)   |   |   |                 |                     |   |  |  |  |  |
| 1. Name and A<br>Hofmeister I   | ddress of Reporting Person<br>Brandon J.   | Symbol  | 2. Issuer Name <b>and</b> Ticker or Trading<br>mbol<br>MS ENERGY CORP [CMS] |                 |                     |   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |  |  |
| (Last)  | (First) (Middle)<br>GY PLAZA   | 3. Date of Earliest T<br>(Month/Day/Year)<br>01/21/2018 |   |                 |                     |   | (Check all applicable)<br><u>Director</u> 10% Owner<br>Officer (give title <u>Univer</u> Other (specify<br>below)<br>Senior Vice President |  |  |  |
| JACKSON,  | 4. If Amendment, Day Filed(Month/Day/Yea   | nendment, Date Original<br>Ionth/Day/Year)              |   |                 |                     | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |  |  |
| (City)  | (State) (Zip)  | Table I - Non-I   | Derivative  | Secur           | ities Aca           | Person<br>uired, Disposed of  | ° or Beneficial  | lv Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | any  | Deemed 3.   | 4. Securi<br>on(A) or Di<br>(Instr. 3,                                      | ties A<br>spose | cquired<br>d of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)   | 7. Nature of   |  |  |
| Common  | 01/21/2018   | Code V<br>A   | Amount 588 (1)  | (D)             | Price<br>\$ 0       | (Instr. 3 and 4)<br>17,024  | D  |  |  |  |
| Stock<br>Common<br>Stock  | 01/21/2018   | F   | 911   | D               | \$<br>44.05         | 16,113  | D  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: Hofmeister Brandon J. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|---|--|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |            |                       |       |  |  |  |  |
|--|---------------|------------|-----------------------|-------|--|--|--|--|
|  | Director      | 10% Owner  | Officer               | Other |  |  |  |  |
| Hofmeister Brandon J.<br>ONE ENERGY PLAZA<br>JACKSON, MI 49201 |               |            | Senior Vice President |       |  |  |  |  |
| Signatures   |               |            |                       |       |  |  |  |  |
| Melissa M. Gleespen,<br>Attny-in-Fact                          |               | 01/23/2018 |                       |       |  |  |  |  |
| **Signature of Reporting Person                                |               | Date       |                       |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Common Stock of CMS Energy Corporation ("CMS") acquired as a result of CMS exceeding certain performance criteria
 (1) established under the 2015 Restricted Stock Award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.