Edgar Filing: FERRARO FRANCIS S - Form 4

FERRARO F Form 4 March 06, 20													
										OMB APPROVAL			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287			
Check thi									Expires:	January 31,			
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL (SECURITIES							LOW	NERSHIP OF	Estimated a	Estimated average burden hours per			
Form 4 or									response				
Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(c) of the Public Utility Updding Company Act of 1025 or Section													
See Instruction 1(b). See Instruction 1(b). See Instruction 1(c) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940													
(Print or Type R	Responses)												
FERRARO FRANCIS S Symbol				Issuer Name and Ticker or Trading nbol ALIFORNIA WATER SERVICE				5. Relationship of Reporting Person(s) to Issuer					
	GROUF		ATER S	SERV	ICE	(Check all applicable)							
(Mon				Date of Earliest Transaction Month/Day/Year)				Director X Officer (give below)		o Owner er (specify			
JUUI DIKD	03/06/20	008				VP Regulatory Matters							
				ndment, Da hth/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line)					
X Form filed by										One Reporting Person Aore than One Reporting			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Aco	quired, Disposed of	f, or Beneficial	lly Owned			
1.Title of Security (Instr. 3)	any		on Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			d of	SecuritiesIBeneficially0OwnedI	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
						(A) or		Reported Transaction(s) (Instr. 3 and 4)					
C				Code V	Amount	(D)	Price	(insu: 5 and +)					
Common Stock (1)	03/06/2008			А	630	А	\$ 37.6	1,355	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Date Expiration Exercisable Date Code V (A) (D) Stock 04/04/2008 03/04/2018 Appreciation \$ 37.6 03/06/2008 Α 3,750 Rights (2) **Reporting Owners** Relationships o / Add ner

Reporting Owner Name / Address			•	
	Director	10% Owner	Officer	Othe
FERRARO FRANCIS S 3001 BIRD ROCK ROAD PEBBLE BEACH, CA 93953			VP Regulatory Matters	
Signatures				
By: Martin A. Kropelnicki For: Ferraro	03/06/2008			
**Signature of Reporting Perso	n		Date	

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

any

(Month/Day/Year)

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Award granted 3/6/2007 pursuant to the California Water Service Group Equity Incentive Plan in a transaction exempt (1) under Rule 16-b-3. Subject to vesting at 1/48th per month over a four-year period.
- Stock settled Stock Appreciation Right (SSAR) granted pursuant to the Company's Equity Incentive Plan on 3-4-2008 at an exercise price (2) of \$37.60 and subject to vesting at 1/48th per month over a four year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners

1. Title of

Derivative

Security

(Instr. 3)

2.

Conversion

or Exercise

Derivative

Price of

Security

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4.

Code

(Instr. 8)

5. Number

Securities

Acquired

Disposed of (D) (Instr. 3, 4, and 5)

(A) or

Transaction of Derivative Expiration Date

6. Date Exercisable and

(Month/Day/Year)

7. Title and Amount

Underlying Securitie

Amou or

Numbe

3,75

of Shares

(Instr. 3 and 4)

Title

Common

Stock