Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

GREAT SOUTHERN BANCORP INC

Form 4

October 26, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

2005

0.5

January 31, Expires:

OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * COPELAND REX A

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

GREAT SOUTHERN BANCORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

INC [GSBC]

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner Officer (give title Other (specify

(Month/Day/Year)

10/24/2016

Treasurer / Senior Vice Pres of Subsidiary

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person

(Street)

Filed(Month/Day/Year)

Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SPRINGFIELD, MO 65802

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Indirect (I) Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) or

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

20,498 D

Common stock

(Instr. 3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat	6. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 41.3	10/24/2016		A	1,050	10/24/2018	10/24/2026	Common Stock	1,050	
Option to purchase	\$ 41.3	10/24/2016		A	1,050	10/24/2019	10/24/2026	Common Stock	1,050	
Option to purchase	\$ 41.3	10/24/2016		A	1,050	10/24/2020	10/24/2026	Common Stock	1,050	
Option to purchase	\$ 41.3	10/24/2016		A	1,050	10/24/2021	10/24/2026	Common Stock	1,050	
Option to purchase	\$ 25.48					<u>(1)</u>	10/17/2017	Common stock	4,200	
Option to purchase	\$ 8.36					(2)	11/19/2018	Common stock	3,150	
Option to purchase	\$ 19.53					(3)	11/16/2021	Common stock	4,200	
Option to purchase	\$ 24.82					<u>(4)</u>	11/28/2022	Common stock	4,200	
Option to purchase	\$ 29.64					(5)	12/18/2023	Common stock	4,200	
Option to purchase	\$ 32.59					<u>(6)</u>	10/15/2024	Common Stock	4,200	
Option to purchase	\$ 50.71					<u>(7)</u>	11/18/2025	Common Stock	4,200	

Reporting Owners

Reporting Owner Name / Address			Keiationships		
	Director	10% Owner	Officer	Other	

COPELAND REX A
CARE OF GREAT SOUTHERN BANK
218 S. GLENSTONE AVE
SPRINGFIELD, MO 65802

Treasurer Senior Vice Pres of Subsidiary

Reporting Owners 2

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Signatures

Matt Snyder, Attorney-in-fact for Rex A. Copeland

10/26/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,050 shares vest on 10/17/2009, 10/17/2010, 10/17/2011 and 10/17/2012
- (2) 1,050 shares vest on 11/19/2011, 11/19/2012 and 11/19/2013
- (3) 1,050 shares vest on 11/16/2013, 11/16/2014, 11/16/2015 and 11/16/2016
- (4) 1,050 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (5) 1,050 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (6) 1,050 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (7) 1,050 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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