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WESTERN ALLIANCE BANCORPORATION

Form 4/A April 10, 2017

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person *

1(b).

See Instruction

| Sarver Robert Gary | | | Symbol WESTERN ALLIANCE | | | | | Issuer (Check all applicable) | | | |
|--------------------------------------|---|-------|---|---|---------------------------------|--------------|---------------|---|--|---|--|
| | | | BANCORPORATION [WAL] | | | | | (Спеск ан аррисавіе) | | | |
| BANCORF | (Month) O WESTERN ALLIANCE NCORPORATION, ONE E. ASHINGTON STREET, STE | | | f Earliest T Day/Year) 2012 | ransaction | | | X Director 10% OwnerX Officer (give title Other (specify below) Chairman and CEO | | | |
| I | | | 4. If Amendment, Date Original Filed(Month/Day/Year) 01/25/2012 | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| FHOENIA, | , AZ 63004 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secu | rities A | cquired, Disposed | d of, or Benefic | cially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | | Date, if | 3. Transaction Code (Instr. 8) | or(A) or D (D) (Instr. 3, | 4 and (A) or | od of 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 01/25/2012 | | | Code V F | 3,471 (1) | (D) | Price \$ 8.19 | 119,448 <u>(2)</u> <u>(3) (4) (5) (6)</u> | D | | |
| Common Stock | | | | | | | | 299,432 (3) (4) (5) (7) | I | Robert G. Sarver Trust dated 09/29/1997 | |
| Common Stock | | | | | | | | 5,000 (6) | I | Children | |

3235-0287

January 31,

5. Relationship of Reporting Person(s) to

2005

0.5

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| Common Stock | 739,882 (3) (7) | I | Sarver Family Trust dated 09/29/1997 |
|-----------------|--------------------|---|---|
| Common Stock | 89,822 (7) | I | SF III Ltd Partnership |
| Common Stock | 6,185 <u>(8)</u> | I | 401K Plan |
| Common Stock | 30,000 | I | Spouse |
| Common Stock | 33,105 | I | Vulture II Corporation |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | isable and | 7. Title | and | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|-------------|---------------|---------------|-----------|----------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration Da | ite | Amoun | t of | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/Y | Year) | Underly | ying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securiti | ies | (Instr. 5) |
| | Derivative | | | | Securities | | | (Instr. 3 | 3 and 4) | |
| | Security | | | | Acquired | | | | | |
| | | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | | | | | | A manuat | |
| | | | | | | | | | Amount | |
| | | | | | | Date | Expiration | | or | |
| | | | | | Exercisable | | rcisable Date | | Number | |
| | | | | C-1- V | (A) (D) | | | | of | |
| | | | | Code V | (A) (D) | | | , | Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Sarver Robert Gary C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX AZ 85004 | X | | Chairman and CEO | | | |

Reporting Owners 2

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Signatures

/s/ Dale Gibbons (Attorney-in-fact)

04/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment properly states the number of shares sold for taxes as having been 3,471, whereas the original filing incorrectly stated the number of shares being sold as 3,783.
- Between the dates of April 11, 2012 and June 11, 2012, the Reporting Person received grants from the Company that represented a portion of the Reporting Person's salary. One of these grants inaccurately excluded 20 shares that were received during a biweekly pay period.
 - This amendment reflects the following transfers between forms of ownership, which were inadvertently excluded from the Reporting Person's previous Form 4 filings: (1) 1,625,816 shares transferred from the Reporting Person's direct holdings ("Direct Holdings") to the Robert G. Sarver Trust dated 09/29/1997 (the "Sarver Trust") on June 3, 2010; (2) 438,671 shares transferred from Direct Holdings to the
- (3) Sarver Family Trust dated 09/29/1997 (the "Family Trust") on June 3, 2010; (3) 4,329 shares transferred from Direct Holdings to the Family Trust on November 23, 2010; (4) 121,662 shares transferred from Direct Holdings to the Sarver Trust on November 23, 2010; (5) 92,854 shares transferred from Direct Holdings to the Sarver Trust on October 13, 2011; and (6) 75,000 shares transferred from the Sarver Trust to the Family Trust on January 9,2012.
 - This amendment reflects the transfer of 4,960 shares on March 12, 2013 from the Reporting Person's direct holdings to the Sarver Trust, and the following sales, which were reported as having been from direct holdings, but were in fact from the Sarver Trust:(1) 100,000 shares sold on October 23, 2012; (2) 50,000 shares sold on October 24 2012; (3) 35,597 shares sold on January 29, 2013; (4) 18,311
- (4) shares sold on January 30, 2013; (5) 50,000 shares sold on February 1, 2013; (6) 25,000 shares sold on February 11, 2013; (7) 25,000 shares sold on February 12, 2013; (8) 50,000 shares sold on February 13, 2013; (9) 50,000 shares sold on February 19, 2013; (10) 50,000 shares sold on April 29, 2013; (11) 50,000 shares sold on April 30, 2013; (12) 100,000 shares sold on July 22,2013; (13) 25,000 shares sold on July 23, 2013; (14) 25,000 shares sold on July 24, 2013; and (15) 83,291 shares sold on December 9, 2013; and (16) 16,709 sold on December 10, 2013.
 - This amendment reflects the transfer between forms of ownership of 61,308 shares on February 25, 2015 from the Reporting Person's direct holdings to the Sarver Trust, and the following transactions, which were reported as having been from direct holdings, but were in fact from the Sarver Trust: (1) 100,000 shares sold on January 27, 2014; (2) 61,308 shares sold on February 25, 2014; (3) 50,000 shares sold on April 23, 2014; (4) 25,000 shares sold on April 24, 2014; (5) 10,000 shares sold on October 30, 2014; (6) 25,000 shares sold on
- (5) sold on April 23, 2014; (4) 25,000 shares sold on April 24, 2014; (5) 10,000 shares sold on October 30, 2014; (6) 25,000 shares sold on November 10, 2014; (7) 50,000 shares sold on May 13, 2015; (8) 50,000 shares sold on May 14, 2015; (9) 50,000 shares sold on May 20, 2015; (10) 30,000 shares sold on May 21, 2015; (11) 20,000 shares sold on May 22, 2015; (12) 10,000 shares gifted on November 6, 2015; (13) 69,977 shares sold on November 6, 2015; (14) 50,000 shares sold on November 23, 2016; and (15) 100,000 shares sold on December 1, 2016.
- (6) These shares represent 5,000 shares which were previously reported as having belonged directly to the Reporting Person; such shares actually belong to the Reporting Person's children, and the Reporting Person disclaims ownership of these shares.
- This amendment reflects the following transfers between forms of ownership, which were inadvertently excluded from the Reporting (7) Person's previous Form 4 filings: (1) the transfer of 18,924 shares from the Sarver Trust to the Family Trust on November 13, 2014; and (2) the transfer of 66,022 shares from the Sarver Trust to SF III Ltd Partnership on August 31, 2015.
- (8) Reflects shares held in the 401K Plan to include employer match as of 3/30/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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