Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

WESTERN Form 4 March 02, 2	ALLIANCE BA	NCORPOI	RATIC	DN								
										OMB	APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549											3235-028	
Check t				_						Expires:	January 31,	
if no longer subject to Section 16. Form 4 or						I BENEFI RITIES	[CIA	L OWN	VERSHIP OF		•	
Form 5 obligati may co <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the F	Public U	Utility	Ho		ipany	Act of	e Act of 1934, 1935 or Sectio 0			
(Print or Type	Responses)											
Sarver Robert Gary Sym WE				Issuer Name and Ticker or Trading bol STERN ALLIANCE NCORPORATION [WAL]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(-)						-	AL					
(Last) C/O WES	(First)			e of Earliest Transaction n/Day/Year) /2017					X Director 10% Owner X Officer (give title Other (specify below) below) Chairman and CEO			
BANCOR	PORATION, ON GTON STREET,	E E.	021201	2017					Cha	irman and Cr	20	
				nendment, Date Original Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)			
PHOENIX	, AZ 85004								_X_ Form filed by Form filed by Person			
(City)	(State)	(Zip)	Ta	ble I - N	on-	Derivative	Secur	ities Acq	uired, Disposed o	f, or Benefic	ially Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, i any (Month/Day/Year)			Date, if	Code (Instr. 3, 4 and 5)					SecuritiesOBeneficiallyDOwnedDFollowingO	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock	02/28/2017			А		116,000	А	\$0	1,056,083	D		
Common Stock	02/28/2017			F		37,560	D	\$ 51.64	1,018,523	D		
Common Stock									7,971	I	Robert G. Sarver Trust dated 09/29/1997	

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Common Stock	30,000	Ι	Spouse
Common Stock	202,958	Ι	Sarver Family Trust dated 09/29/1997
Common Stock	23,800	Ι	SF III Ltd Partnership
Common Stock	33,105	Ι	Vulture II Corporation
Common Stock	6,185 <u>(1)</u>	Ι	401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director 10% Owner Officer		Officer	Other			
Sarver Robert Gary C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004	Х		Chairman and CEO				

Signatures

/s/ Dale Gibbons (Attorney-in-fact)

03/02/2017

Date

<u>**</u>Signature of Reporting Person

....

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects shares held in the 401K Plan to include employer match as of 2/16/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.