

KLEIN CHRISTOPHER J

Form 4

February 22, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box  
 if no longer  
 subject to  
 Section 16.  
 Form 4 or  
 Form 5  
 obligations  
 may continue.  
*See Instruction*  
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KLEIN CHRISTOPHER J**

2. Issuer Name **and** Ticker or Trading  
 Symbol  
**Fortune Brands Home & Security,  
 Inc. [FBHS]**

5. Relationship of Reporting Person(s) to  
 Issuer

(Check all applicable)

(Last) (First) (Middle)

**520 LAKE COOK ROAD**

(Street)

**DEERFIELD, IL 60015**

(City)

(State)

(Zip)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**02/21/2019**

4. If Amendment, Date Original  
 Filed(Month/Day/Year)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
 Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
 Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4)        |
|---|---|---|---|--|--|--|--|
| Common<br>Stock, Par<br>Value<br>\$0.01 | 02/14/2019                              |   | G <sup>(1)</sup>                        | V 20,000 D \$ 0  | 419,217 <sup>(2)</sup>   | D  |  |
| Common<br>Stock, Par<br>Value<br>\$0.01 | 02/21/2019                              |   | A <sup>(3)</sup>                        | 33,480 A \$ 0  | 452,697 <sup>(4)</sup>   | D  |  |
| Common<br>Stock, Par<br>Value<br>\$0.01 | 02/14/2019                              |   | G <sup>(1)</sup>                        | V 20,000 A \$ 0  | 63,400   | I  | By trusts<br>held for<br>the benefit<br>of Mr. |

Klein's  
heirs

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                  |
|---|--|---|---|--------------------------------------|---|--|-----|---|--------------------|-----------------|----------------------------------|
|   |  |   |   | Code                                 | V   | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Options<br>(Right to<br>Buy)                        | \$ 47.99   | 02/21/2019                              |   | A <sup>(5)</sup>                     |   | 140,474  |     | <sup>(6)</sup>  | 02/21/2029         | Common<br>Stock | 140,474                          |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships                    |
|--|----------------------------------|
|  | Director 10% Owner Officer Other |
| KLEIN CHRISTOPHER J<br>520 LAKE COOK ROAD<br>DEERFIELD, IL 60015 | X Chief Executive Officer        |

## Signatures

/s/ Angela M. Pla, Attorney-in-Fact for Christopher J.  
Klein

02/22/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects the gift of shares made by Mr. Klein to trusts held for the benefit of his heirs.

(2) Includes a total of 63,557 restricted stock units that have not yet vested.

Reflects the grant of restricted stock units awarded to the reporting person that vest in three equal annual installments, subject to

(3) continued employment through such vesting dates. Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock.

(4) Includes a total of 97,037 restricted stock units that have not yet vested.

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(5) Reflects the grant of options under the issuer's Long-Term Incentive Plan.

(6) The options vest in three equal annual installments beginning on February 28, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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