SMITH RICHARD ROBINSON

Form 4 January 24, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * SMITH RICHARD ROBINSON

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Sr. VP, General Manager EME

Issuer

below)

(Middle)

(Zip)

AGCO CORP /DE [AGCO] 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

01/22/2019

Director

10% Owner X_ Officer (give title Other (specify

AGCO INTERNATIONAL GMBH. VICTOR VON BRUNS

(First)

(Street)

(State)

01/22/2019

01/22/2019

STR 17

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEUHAUSEN AM REINFALL, V8 8212

(City)

Common

Common

Stock

Stock

				•	•		·
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		
				(A)	Transaction(s)		
			C-J- V	Or	(Instr. 3 and 4)		

Amount

Code V

\$0 A 3,468 50,289 D (1) D A 35,837 86,126

Price

(D)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tionD S) A o (I	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration D	6. Date Exercisable and Expiration Date Underlying (Month/Day/Year) (Instr. 3 and		Securitie
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Appreciation Right	\$ 64.71	01/22/2019		A]	14,600		(3)	01/22/2026	Common Stock	14,60

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SMITH RICHARD ROBINSON AGCO INTERNATIONAL GMBH VICTOR VON BRUNS STR 17 NEUHAUSEN AM REINFALL, V8 8212

Sr. VP, General Manager EME

Signatures

Lynnette D. Schoenfeld Attorney-in-fact

01/24/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was awarded restricted stock units that will vest in three equal annual installments beginning on January 22, 2020. Each restricted stock unit represents the contingent right to receive one share of common stock.
- (2) The reporting person was awarded restricted stock units that will vest 25% on 12/31/2019, 25% on 12/31/2020, 50% on 12/31/2021. Each restricted stock unit represents the contingent right to receive one share of common stock.
- (3) Exercisable in four equal annual installments beginning January 22, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2