BORI CARLOS S

Form 4

November 08, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per 0.5 response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

SECURITIES

1(b).

Common

Stock

(Print or Type Responses)

1. Name and A	2. Issuer Name and Ticker or Trading Symbol SKYWORKS SOLUTIONS, INC. [SWKS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			te of Earliest Transaction th/Day/Year) 6/2018				Director 10% Owner Sylventified Other (specify below) SVP, Sales & Marketing			
IDVINE C	(Street)		endment, Da nth/Day/Year	_	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
IRVINE, C		(T)						Person		porung
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	emed on Date, if /Day/Year)	Code (Instr. 8)	Fransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 7. Nature of Indirect Indirect Ownership (Instr. 4)		
Common Stock	11/07/2018			A A	3,231 (1)	A	\$ 0	12,843 (2)	D	
Common Stock	11/07/2018			F	1,118 (3)	D	\$ 82.65	11,725	D	
Common Stock	11/07/2018			M	2,159	A	<u>(4)</u>	13,884	D	
Common Stock	11/07/2018			F	747 (3)	D	\$ 82.65	13,137	D	

By 401(k)

plan

 $1,002 \frac{(5)}{}$

I

Edgar Filing: BORI CARLOS S - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired Disposed (Instr. 3, 4	(A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(6)</u>	11/06/2018		A	13,068		<u>(7)</u>	<u>(7)</u>	Common Stock	13,068
Restricted Stock Units	<u>(4)</u>	11/07/2018		M		2,159	(8)	(8)	Common Stock	2,159

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BORI CARLOS S 5221 CALIFORNIA AVENUE

SVP, Sales & Marketing

Signatures

IRVINE, CA

Daniel L. Ricks, as Attorney-In-Fact for Carlos S. Bori

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents 3,231 shares of common stock issued to the Reporting Person pursuant to a performance share award dated 11/7/2017. Such performance share award contained both a continued employment condition and a performance condition related to the achievement by the Issuer of certain pre-established performance metrics for fiscal year 2018.
- (2) This total includes 240 shares purchased on 1/31/2018 through the Skyworks Solutions, Inc. 2002 Employee Stock Purchase Plan.
- (3) Transfer of stock to the Issuer of the number of common shares determined to be sufficient to satisfy tax withholding obligations related to the issuance of unrestricted stock to the Reporting Person.

Reporting Owners 2

Edgar Filing: BORI CARLOS S - Form 4

- (4) Restricted stock units convert into shares of common stock on a one-for-one basis.
- (5) This total represents the number of shares of common stock held by the Reporting Person in the Skyworks Solutions, Inc. 401(k) plan based on the latest plan statement dated 10/31/2018.
- (6) Each restricted stock unit represents the contingent right to receive one (1) share of common stock upon vesting of the unit.
- (7) The restricted stock units vest in four (4) equal installments, beginning on 11/6/2019 and ending on 11/6/2022.
- (8) The restricted stock units vest in four (4) equal installments, beginning on 11/7/2018 and ending on 11/7/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.