

DAVIS RAY C  
Form 3  
July 24, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DAVIS RAY C  
(Last) (First) (Middle)

5950 SHERRY LANE, SUITE 550

(Street)

DALLAS, TX 75225

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
07/17/2018

3. Issuer Name and Ticker or Trading Symbol  
Energy Transfer Equity, L.P. [ETE]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Units	26,736,558	D	À
Common Units	328,383	I	By: ET Company, Ltd. <sup>(1)</sup>
Common Units	51,701	I	By: Avatar Holdings LLC <sup>(2)</sup>
Common Units	1,002,494	I	By: RCD Stock Holdings, LLC <sup>(3)</sup>
Common Units	1,354,720	I	By: Avatar BW, Ltd. <sup>(4)</sup>
Common Units	15,987,283	I	By: Linda Davis 2008 Family Trust <sup>(5)</sup>
Common Units	121,117	I	By: Avatar Stock Holdings, LP <sup>(6)</sup>
Common Units	28,203,003	I	By: Avatar ETC Stock Holdings, LLC <sup>(7)</sup>
Common Units	3,557,757	I	By: Avatar Investments, LP <sup>(8)</sup>



## Edgar Filing: DAVIS RAY C - Form 3

The reported units are owned directly by the Linda Davis 2008 Family Trust for the benefit of Mr. Davis' family. Mr. Davis' spouse is trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- (6) The reported units are owned directly by Avatar Stock Holdings, LP, a limited partnership of which Mr. Davis is the owner of the general partner.
- (7) The reported units are owned directly by Avatar ETC Stock Holdings LLC, a limited liability company owned by Mr. Davis.
- (8) The reported units are owned directly by Avatar Investments, LP, a limited partnership, of which Mr. Davis is the owner of the general partner.

- The reported units are owned directly by the Ray Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.
- (9) Davis is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- The reported units are owned directly by the Linda Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis' spouse is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.
- (10) Davis' spouse is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- An award of phantom units granted under the Amended and Restated Energy Transfer Equity, L.P. Long-Term Incentive Plan that will vest 60% on the third anniversary and 40% on the fifth anniversary of the award generally contingent upon the reporting person's continued service on the Board of the general partner of the Partnership on each applicable vesting date.
- (11) vest 60% on the third anniversary and 40% on the fifth anniversary of the award generally contingent upon the reporting person's continued service on the Board of the general partner of the Partnership on each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.