

LEE FRANCIS F
Form 4
June 22, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEE FRANCIS F

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS Inc [SYNA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1251 MCKAY DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/20/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

SAN JOSE, CA 95131

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 06/20/2018 | | M | | 63,141 | A | \$ 24.33 |
| Common Stock | 06/20/2018 | | M | | 28,125 | A | \$ 24.2 |
| Common Stock | 06/20/2018 | | S ⁽²⁾ | | 158,943 | D | \$ 54.0537 ⁽³⁾ |
| Common Stock | 06/20/2018 | | S ⁽²⁾ | | 32,323 | D | \$ 54.537 ⁽⁴⁾ |
| Common Stock | | | | | | | 26,274 |
| | | | | | | I | By Trust ⁽⁵⁾ |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 24.33 | 06/20/2018 | | M | 63,141 | <u>(6)</u> 01/26/2019 | Common Stock 63,141 |
| Director Stock Option (Right to Buy) | \$ 24.2 | 06/20/2018 | | M | 28,125 | <u>(7)</u> 10/26/2019 | Common Stock 28,125 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LEE FRANCIS F 1251 MCKAY DRIVE SAN JOSE, CA 95131 | X | | | |

Signatures

Kermit Nolan, as attorney-in-fact
Date: 06/22/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Includes 69,106 shares previously reported as being held by EF Lee Family 2012 Irr Trust.
- (2) The shares were sold pursuant to a 10b5-1 Trading Plan dated February 26, 2018.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.40 to \$54.38, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission (the "SEC"), upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.40 to \$54.99, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The shares are held by EF Lee Family 2012 Irr Trust.

25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 26, 2009 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 26th day of each month thereafter until fully vested on January 26, 2013.

- (5) 25% of the total number of shares subject to the option vested and became exercisable on August 1, 2010, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 1st day of each month thereafter until fully vested on August 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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