

Schaupp William E
Form 3
May 07, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Schaupp William E

(Last) (First) (Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/25/2018

3. Issuer Name **and** Ticker or Trading Symbol
PPG INDUSTRIES INC [PPG]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

PPG INDUSTRIES,
INC.,^ ONE PPG PLACE

(Street)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Assistant Controller (PAO)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

PITTSBURGH,^ PA^ 15272

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,575 ⁽¹⁾

D

^

Common Stock

784 ⁽²⁾

I

Employer 401(k) Plan ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (3)	02/18/2018	02/17/2025	Common Stock	1,700	\$ 118.12	D	Â
Employee Stock Options (3)	02/17/2019	02/16/2026	Common Stock	2,500	\$ 95	D	Â
Employee Stock Options (3)	02/15/2020	02/14/2027	Common Stock	2,150	\$ 101.5	D	Â
Employee Stock Options (3)	02/14/2021	02/13/2028	Common Stock	1,750	\$ 116.32	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schaupp William E PPG INDUSTRIES, INC. ONE PPG PLACE PITTSBURGH, PA 15272	Â	Â	Â Assistant Controller (PAO)	Â

Signatures

/s/ Greg E. Gordon, Attorney-in-Fact for William E.
Schaupp

05/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 15 shares of PPG common stock held in the reporting person's account in the PPG Investor Services Program (a dividend reinvestment program).
- (2) Total amount of shares held for the reporting person in the reporting person's account in the PPG Industries Employee Savings Plan as of April 25, 2018.
- (3) Right to buy granted under the PPG Industries, Inc. Amended and Restated Omnibus Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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