Edgar Filing: Garfin Mitchell - Form 4

Garfin Mitch Form 4									
February 02								OMB AF	PROVAL
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287
Check th if no long subject to Section 1 Form 4 of Form 5 obligatio may con <i>See</i> Instr 1(b).	ger o 16. or Filed pur ons tinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040							
(Print or Type]	Responses)								
1. Name and A Garfin Mitc	Address of Reporting chell	Sym BL.	Issuer Name an ^{Ibol} ACKROCK (GH YIELD F	CORPORA	TE	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 55 EAST 52ND STREET			ate of Earliest T nth/Day/Year) 31/2018	ransaction		Director 10% Owner Officer (give titleX Other (specify below) below) Portfolio Manager			
	(Street)		Amendment, D d(Month/Day/Yea	-		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YOR	K, NY 10055					Ē	Form filed by Mo Person	ore than One Rep	porting
(City)	(State)	(Zip)	Table I - Non-	Derivative Se	curiti	es Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	4. Securities onr Disposed (Instr. 3, 4 a	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/31/2018		Code V M	Amount 1,355.14	(D) A	Price $(1) (2)$	(Instr. 3 and 4) 1,355.14	D	
Common Stock	01/31/2018		D	1,355.14	D	\$ 10.87 (1) (2)	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
	j			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Phantom Shares	(1) (3)	01/31/2018		А	9,949.4		(1)(3)	(1)(3)	Common Stock	9,94
Phantom Shares	(1) (2)	01/31/2018		М		1,355.14	(1)(2)	(1)(2)	Common Stock	1,355

Reporting Owners

Reporting Owner Name / Address	s Relationships						
	Director	10% Owner	Officer	Other			
Garfin Mitchell 55 EAST 52ND STREET NEW YORK, NY 10055				Portfolio Manager			
Signatures							
/s/ Eugene Drozdetski as Attorney-in-Fact		02/02	2/2018				
<u>**</u> Signature of Reporting Person		Da	ite				
Example a still a set (D a							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A phantom share is the economic equivalent of one share of common stock and, subject to the applicable vesting requirements, becomes payable in cash.
- (2) As previously reported on a Form 4 dated February 2, 2017, the Reporting Person was granted phantom shares on January 31, 2017 payable in cash on vesting, which occurs in equal installments on each of the first three anniversaries of the grant date.
- (3) These phantom shares vest in equal installments on each of the first three anniversaries of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.