

RICHENHAGEN MARTIN
Form 4
August 03, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RICHENHAGEN MARTIN

(Last) (First) (Middle)

AGCO CORPORATION, 4205
RIVER GREEN PARKWAY

(Street)

DULUTH, GA 30096-2584

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 08/01/2017 | | M | | 68,000 | A | \$ 52.94 |
| Common Stock | 08/01/2017 | | M | | 78,500 | A | \$ 51.84 |
| Common Stock | 08/01/2017 | | M | | 59,250 | A | \$ 55.23 |
| Common Stock | 08/01/2017 | | F | | 177,858 | D | \$ 71.91 |
| Common Stock | | | | | | | 123,584 |
| | | | | | | I | By Trust (1) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Appreciation Right | \$ 52.94 | 08/01/2017 | | M | 68,000 | <u>(2)</u> 01/25/2019 | Common Stock 68,000 |
| Stock Appreciation Right | \$ 51.84 | 08/01/2017 | | M | 78,500 | <u>(3)</u> 01/23/2020 | Common Stock 78,500 |
| Stock Appreciation Right | \$ 55.23 | 08/01/2017 | | M | 59,250 | <u>(4)</u> 01/22/2021 | Common Stock 59,250 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| RICHENHAGEN MARTIN AGCO CORPORATION 4205 RIVER GREEN PARKWAY DULUTH, GA 30096-2584 | X | | Chairman, President and CEO | |

Signatures

Lynnette D. Schoenfeld
Attorney-in-fact
08/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Owned by The Richenhagen Irrevocable Trust - Brigitte Charlotte Anna Richenhagen, Trustee, under agreement dated December 20, 2012. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (2) Exercisable in four equal annual installments beginning January 25, 2013.
- (3) Exercisable in four equal annual installments beginning January 23, 2014.
- (4) Exercisable in four equal annual installments beginning January 22, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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