Pegula Kim S Form 4/A July 31, 2017

# FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Pegula Kim S

2. Issuer Name and Ticker or Trading

Symbol

REPUBLIC SERVICES, INC.

[RSG]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 07/25/2017

18500 N. ALLIED WAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

07/27/2017

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PHOENIX, AZ 85054

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

6. Ownership Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4) (Instr. 4)

7. Nature of

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Following

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

5. Number Transaction of Derivative Expiration Date

Securities

6. Date Exercisable and

(Month/Day/Year)

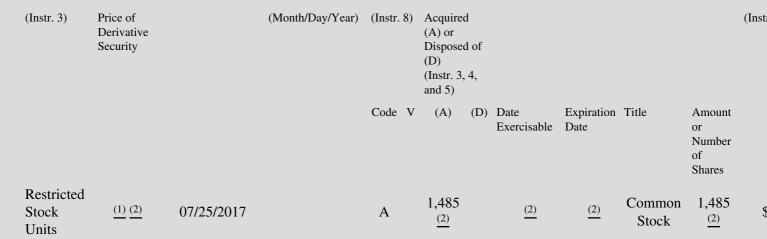
7. Title and Amount of 8. Pr **Underlying Securities** 

(Instr. 3 and 4)

Deri

Secu

#### Edgar Filing: Pegula Kim S - Form 4/A



# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Pegula Kim S
18500 N. ALLIED WAY X

PHOENIX, AZ 85054

# **Signatures**

/s/ Eileen B. Schuler Attorney-in-Fact

07/31/2017

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As initially reported in Ms. Pegula's Form 4 filing dated July 27, 2017, she was elected to the Board of Republic Services, Inc. ("Company") effective July 25, 2017. The Company annually grants, as of the first business day in January, each non-employee director a grant of restricted stock in the grant is based on a value of the company's 2007 Stock Incentive Plan, as amended. The grant is based on a value

- on the date of grant of \$220,000, with the number of RSUs calculated based on the closing stock price of the Company's common stock on the date of grant. The RSUs immediately vest; however, the director cannot convert any of the RSUs to Company common stock (based on 1 on 1 conversion) until the earlier of the last day of the month in which the three year anniversary of the date of the award occurs unless deferred by the director or the date on which the director incurs a separation from service within the meaning of Section 409A of the Internal Revenue Code.
  - As initially reported in Ms. Pegula's Form 4 filing dated July 27, 2017, the annual grant of RSUs to a newly appointed director is prorated to the number of days remaining in the calendar year. After any quarter in which dividends are distributed to shareholders, the director receives additional RSU equivalents. This amended Form 4 corrects the administrative error in the number of RSUs granted to Ms.
- Pegula as previously reported in the Form 4 dated July 27, 2017. Ms. Pegula was granted 1,485 RSUs as of July 25, 2017 ("Date of Grant"), based on the remaining 160 days in the calendar year and closing stock price of \$64.98 as of the Date of Grant. The RSUs are scheduled to convert to common stock as of July 31, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2