Andersons, Inc. Form 4 March 02, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1 Name and Address of Departing D

03/02/2017

1(b).

1. Name and Address of Reporting Person * Sparks Tamara Sue		2. Issuer Name and Ticker or Trading Symbol Andersons, Inc. [ANDE]				5. Relationship of Reporting Person(s) to Issuer				
(Last) (Firs	st) (Middle)	3. Date of Earliest	e of Earliest Transaction (Check all applicable)					mar		
480 W DUSSEL DI	(Month/Day/Year) 03/02/2017				Officer (give title Other (specify below) VP,Corp.Relations/BusinessAnal					
(Stre	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
MAUMEE, OH 435	537						orm med by More ti	іан Опе Кероп	ing Person	
(City) (Stat	re) (Zip)	Table I - Non	-Derivativ	e Securitio	es Acq	uired,	Disposed of, or I	Beneficially C	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any Code Disposed of (Month/Day/Year) (Instr. 8) (Instr. 3, 4 a		I (A) o I of (D 4 and (A)	)	Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
COMMON STOCK	03/02/2017		A	835	A	\$0	12,232.7131	D		
COMMON STOCK	03/02/2017		A	190	A	\$0	1,605.044	I	Held by Spouse	
PERFORMANCE SHARE UNIT (EPS) (2020)	03/02/2017		A	836	A	\$ 0	836 (1)	D		
PERFORMANCE SHARE UNIT (TSR) (2020)	03/02/2017		A	836	A	\$0	836 (2)	D		

A

190

\$ 0 190 (1)

Ι

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

#### Edgar Filing: Andersons, Inc. - Form 4

PERFORMANCE SHARE UNIT (EPS) (2020)								Held By Spouse
PERFORMANCE SHARE UNIT (TSR) (2020)	03/02/2017	A	190	A	\$ 0	190 (2)	I	Held By Spouse
PERFORMANCE SHARE UNIT (2018)						996 (1)	D	
PERFORMANCE SHARE UNIT (2018)						320 (1)	I	Held by Spouse
PERFORMANCE SHARE UNIT (EPS) (2019)						1,186 (1)	D	
PERFORMANCE SHARE UNIT (EPS) (2019)						272 (1)	I	Held by Spouse
PERFORMANCE SHARE UNIT (TSR) (2019)						1,186 (2)	D	
PERFORMANCE SHARE UNIT (TSR) (2019)						272 (2)	I	Held by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. sorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ve es d		Secur	ant of rlying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sparks Tamara Sue 480 W DUSSEL DR. MAUMEE, OH 43537

VP,Corp.Relations/BusinessAnal

### **Signatures**

Tamara Sparks, by: Mary Schroeder, Limited Power of Attorney 03/02/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit (TSR) granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on the Company's annualized total shareholder return. Number of underlying shares are based upon the level of satisfaction of the total shareholder return for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3