## Edgar Filing: GENERAL DYNAMICS CORP - Form 4

| GENERAL D<br>Form 4<br>February 14,                             | OYNAMICS COR<br>2017                    | P  |  |  |   |                 |  |   |  |   |  |  |
|---|---|--|--|--|---|-----------------|--|---|--|---|--|--|
| FORM  | 1                                       |  |  |  |   |                 |  |   |  | PPROVAL   |  |  |
|   | UNITEDS                                 | UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549   |  |  |   |                 |  |   |  | 3235-0287   |  |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or | er <b>STATEM</b>                        | STATEMENT OF CHANGES   |  |  |   |                 | ES IN BENEFICIAL OWNERSHIP OF<br>ECURITIES |   |  |   |  |  |
| Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | nue. Section 17(a)                      | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |   |                 |  |   |  |   |  |  |
| (Print or Type R  | esponses)                               |  |  |  |   |                 |  |   |  |   |  |  |
| Burns Mark Lagrand Sym  |   |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>GENERAL DYNAMICS CORP |  |   |                 | -  | 5. Relationship of Reporting Person(s) to<br>Issuer   |  |   |  |  |
|   |   | I  | [GD]   |  |   |                 |  | (Check all applicable)  |  |   |  |  |
| (Month  |   |  | (Month/Da  | Date of Earliest Transaction<br>Aonth/Day/Year)<br>2/10/2017 |   |                 |  | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Vice President   |  |   |  |  |
| CORPORAT<br>PARK DRIV   | FION, 2941 FAIR<br>/E                   | VIEW   |  |  |   |                 |  |   |  |   |  |  |
| Filed(Mont  |   |  |  | ndment, Date Original<br>th/Day/Year)                        |   |                 |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |   |  |  |
| FALLS CHU   | JRCH, VA 22042                          |  |  |  |   |                 |  | Person  |  | 1 0   |  |  |
| (City)  | (State) (Z                              | Zip)   | Table  | e I - Non-De   | erivative S   | Securit         | ties Ac                                    | quired, Disposed o  | of, or Beneficia   | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                            | 2. Transaction Date<br>(Month/Day/Year) | Execution  | Execution Date, if   |  | 4. Securities<br>onAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A) |                 |  | Securities<br>Beneficially  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|   |   |  |  | Code V   | Amount  | or<br>(D) Price |  | (Instr. 3 and 4)  |  |   |  |  |
| Common<br>Stock,<br>\$1.00 par<br>value                         | 02/10/2017                              |  |  | А  | 11.59<br>(1)  | A               | \$ 0                                       | 17,207.943  | D  |   |  |  |
| Common<br>Stock,<br>\$1.00 par<br>value                         |   |  |  |  |   |                 |  | 1,029.794 <u>(2)</u>  | I  | 401(k)<br>Plan  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Tran:<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

Bi C/ 29 F/ **S** 

> L A

| Reporting Owner Name / Address   |            | Relationships |                |       |  |  |  |  |
|--|------------|---------------|----------------|-------|--|--|--|--|
|  | Director   | 10% Owner     | Officer        | Other |  |  |  |  |
| Burns Mark Lagrand<br>C/O GENERAL DYNAMICS CORPORATIC<br>941 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042 | DN         |               | Vice President |       |  |  |  |  |
| Signatures   |            |               |                |       |  |  |  |  |
| L. Neal Wheeler, by Power of (   | 02/14/2017 |               |                |       |  |  |  |  |
| <u>**</u> Signature of Reporting Person  | Date       |               |                |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents accrued dividend equivalents on previously earned PRSUs.

(2) Includes share activity under General Dynamics 401(k) plan since date of reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.