### Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 4

LADENBUR Form 4 August 31, 20		IN FINAN	CIAL SEF	RVICES I	NC							
FORM	Л								OMB A	PPROVAL		
	<b>4</b> UNITE	D STATES		TIES AN			NGE CO	OMMISSION	OMB Number:	3235-0287		
Check this if no longe	.r								Expires:	January 31, 2005		
subject to Section 16 Form 4 or	SIAIF	EMENT O	F CHANO	ERSHIP OF	Estimated average burden hours per response 0.4							
Form 5 obligations may contir <i>See</i> Instruc 1(b).	s Section 1	7(a) of the		lity Holdi	ing Com	pany	Act of 1	Act of 1934, 1935 or Section	I			
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person <u>*</u> Malamed Adam Scott			Symbol LADENBURG THALMANN					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)		FINANCIAL SERVICES INC [LTS] 3. Date of Earliest Transaction					Director 10% Owner					
, <i>,</i> ,	(First) YNE BLVD.,	(Middle)	(Month/Da 08/28/20	y/Year)	insaction			XOfficer (give below)		er (specify		
Filed(Month				onth/Day/Year) A				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
MIAMI, FL 3	33137							Person	ore than one R	eporting		
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecuri	ties Acqu	ired, Disposed of,	or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execut any		Code (Instr. 8)	4. Securit (A) or Di (Instr. 3, Amount	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/28/2015			Р	5,000	A	\$ 2.5715 (1)	473,478	D			
Common Stock								5,000	Ι	Held by the NFS/FMTC IRA for the benefit of Adam Malamed		
8.00% Series A								4,000 (2)	D			

Cumulative Redeemable Preferred Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	Director 10% Owner Officer		Other			
Malamed Adam Scott 4400 BISCAYNE BLVD., 12TH FLOOR MIAMI, FL 33137			Chief Operating Officer				

# **Signatures**

/s/ Adam S. 08/31/2015 Malamed

\*\*Signature of Reporting Person Date

**Explanation of Responses:** 

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$2.54 to \$2.58, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities

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and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) to this Form 4.

The 8.00% Series A Cumulative Redeemable Preferred Stock is convertible into common stock at a non-fixed conversion rate in

(2) connection with a change of control as described in the issuer's Current Report on Form 8-K, filed with the Securities and Exchange Commission on May 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.