

TreeHouse Foods, Inc.
Form 4
June 30, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
REED SAM K

(Last) (First) (Middle)

2021 SPRING ROAD, SUITE 600

(Street)

OAK BROOK, IL 60523

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TreeHouse Foods, Inc. [THS]

3. Date of Earliest Transaction
(Month/Day/Year)

06/26/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

CEO, Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/27/2015		M ⁽¹⁾		4,940	A	\$ 0
							4,940
Common Stock	06/27/2015		F ⁽²⁾		2,142	D	\$ 76.3
							2,798
Common Stock	06/27/2015		M ⁽¹⁾		5,286	A	\$ 0
							8,084
Common Stock	06/27/2015		F ⁽²⁾		2,292	D	\$ 76.3
							5,792
Common Stock	06/27/2015		M ⁽¹⁾		5,004	A	\$ 0
							10,796

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Common Stock	06/27/2015	F ⁽²⁾	2,170	D	\$ 76.3	8,626	D	
Common Stock	06/27/2015	A ⁽³⁾	16,220	A	\$ 0	24,846	D	
Common Stock	06/27/2015	F ⁽⁴⁾	7,413	D	\$ 76.3	17,433	D	
Common Stock						574,975	I	In trust. ⁽⁵⁾
Common Stock						24,242	I	Held by spouse's trust.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(6)</u>	06/26/2015		A		17,560		<u>(7)</u>	<u>(7)</u>	Common Stock	17,560
Non-qualified Stock Option (right to buy)	\$ 76.3	06/26/2015		A		86,540		<u>(8)</u>	<u>(8)</u>	Common Stock	86,540
Restricted Stock Unit	<u>(6)</u>	06/27/2015		M <u>(1)</u>		4,940		<u>(7)</u>	<u>(7)</u>	Common Stock	4,940
Restricted Stock Unit	<u>(6)</u>	06/27/2015		M <u>(1)</u>		5,286		<u>(7)</u>	<u>(7)</u>	Common Stock	5,286
Restricted Stock Unit	<u>(6)</u>	06/27/2015		M <u>(1)</u>		5,004		<u>(7)</u>	<u>(7)</u>	Common Stock	5,004

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners

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Director 10% Owner Officer Other

REED SAM K
2021 SPRING ROAD
SUITE 600
OAK BROOK, IL 60523

X

CEO, Chairman of the Board

Signatures

/s/Thomas E. O'Neill, as
attorney-in-fact

06/30/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of restricted stock units into shares of common stock.
- (2) Shares withheld to satisfy minimum tax withholding requirements upon vesting of restricted stock units.
- (3) Settlement of non-derivative performance units for the performance period ended December 31, 2014, granted under the TreeHouse Equity and Incentive Plan and exempt from liability under Section 16(b) of the Securities Exchange Act pursuant to Rule 16(b)-3(d).
- (4) Shares withheld by the Issuer to satisfy minimum tax withholding requirements.
- (5) Held by Sam K. Reed 2008 Trust and Victoria P. Reed 2008 Trust, as Tenants in Common.
- (6) Each restricted stock unit represents a contingent right to receive one share of common stock of TreeHouse Foods, Inc.
- (7) The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.
- (8) The stock options have a ten-year term and will vest in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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