WisdomTree Investments, Inc.

Form 4 June 25, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SALERNO FRANK

2. Issuer Name and Ticker or Trading

(Month/Day/Year)

06/24/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Symbol

WisdomTree Investments, Inc.

[WETF]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

**OMB APPROVAL** 

Expires:

Estimated average

3235-0287

January 31,

2005

0.5

C/O WISDOMTREE

**INVESTMENTS, INC., 245 PARK** 

**AVENUE, 35TH FLOOR** 

4. If Amendment, Date Original

Applicable Line)

(Street)

Filed(Month/Day/Year)

3.

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10167

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

Transaction(s)

(Instr. 3 and 4)

Common Stock

06/24/2015

Price Code V Amount (D) Α 3,485 \$0

134,246 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: WisdomTree Investments, Inc. - Form 4

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.		6. Date Exerc	Exercisable and 7		le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	on Date A		ınt of	Derivative	
Security	or Exercise		any	Code of		(Month/Day/	Month/Day/Year)		rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		;		Securities		(Instr. 5)	
	Derivative				Secu	ırities			(Instr. 3 and 4)		
	Security				Acquired						
	Ĭ				(A) c	(A) or					
					Disposed of (D)						
					(Instr. 3,						
					`	4, and 5)					
					, ,						
										Amount	
								Expiration	Title	or	
								Date		Number	
								Dute		of	
				Code	V (A)	(D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SALERNO FRANK C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167

X

## **Signatures**

/s/ Marci Frankenthaler, Attorney-in-Fact

06/25/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock awards which vest as to (i) 6,672 shares on June 27, 2015 and (ii) 3,485 shares on June 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2