Edgar Filing: HCA Holdings, Inc. - Form 4

| HCA Hold Form 4 May 20, 20 | c | | | | | | | | | | |
|---|---|--|-----|----------------------|--|--|------------------------|--|--|---|--|
| | ЛЛ | | | | | | | | OMB AI | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | OMB Number: | 3235-0287 | |
| if no lo subject Section Form 4 | ger o STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | January 31, 2005 average rs per 0.5 | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(c) See Instruction 1(c) | | | | | | | | | | | |
| (Print or Type | e Responses) | | | | | | | | | | |
| Perlin Jonathan B Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | of Earliest 7 | - | - | | (Check all applicable) | | | |
| ONE PARK PLAZA (Month 05/18 | | | | n/Day/Year) /2015 | | | | Director 10% Owner _XOfficer (give title Other (specify below) below) Pres-Clinical Services & CMO | | | |
| | | onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| NASHVII | LLE, TN 37203 | | | | | | | Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tal | ble I - Non- | Derivative | e Secu | rities Acqu | uired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | saction Date 2A. Deemed //Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securit nor Dispos (Instr. 3, 4 | ed of (| | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | m: Beneficial ect (D) Ownership indirect (Instr. 4) | |
| Common Stock | 05/18/2015 | | | Code V $M^{(1)}$ | Amount 18,000 | (D) A | Price \$ 11.3208 | 32,755.2832 | D | | |
| Common Stock | 05/18/2015 | | | S <u>(1)</u> | 18,000 | D | \$ 81 | 14,755.2832 (2) | D | | |
| Common Stock | 05/19/2015 | | | M <u>(1)</u> | 18,000 | A | \$ 11.3208 | 32,755.2832 | D | | |
| Common Stock | 05/19/2015 | | | S <u>(1)</u> | 18,000 | D | \$ 83 | 14,755.2832 (2) | D | | |
| Common Stock | | | | | | | | 913 | Ι | By 2011 GRAT, Reporting | |

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| Common Stock | | ing for such place of a | | -unad dime | 3,840 | | Tru By GR Rep Per | rson ustee 2012 AAT, porting rson ustee | | | | | |
|--|--|---|---|---|--|--|-------------------------------|--|---------------------------|--|--|--|--|
| Reminder: Report o | n a separate n | ine for each class of se | Per info req dis | rsons who ormation c quired to re | o respond to th contained in th espond unless urrently valid O | ne collection his form are t s the form | not (9-0 | 474 02) | | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amour Underlying Securit (Instr. 3 and 4) | | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sł | | | | |
| Non-Qualified Stock Option (right to buy) | \$ 11.3208 (3) | 05/18/2015 | | M <u>(1)</u> | 18,000 | <u>(4)</u> | 01/30/2017 | Common Stock | 18,0 (<u></u> | | | | |
| Non-Qualified Stock Option (right to buy) | \$ 11.3208 (3) | 05/19/2015 | | M <u>(1)</u> | 18,000 | <u>(4)</u> | 01/30/2017 | Common Stock | 18,0 (<u></u> | | | | |
| Reporting | g Own | ers | | | | | | | | | | | |
| Reporting Owner | Name / Add | | Relat % Owner Officer | tionships | | Other | | | | | | | |
| Perlin Jonathan B ONE PARK PLAZA NASHVILLE, TN 37203 | | | Pres-Clinical Services & CMO | | | | | | | | | | |
| Signature | es | | | | | | | | | | | | |
| /s/ Natalie Harrison Cline, Attorney-in-Fact | | | 05/20/2015 | | | | | | | | | | |
| - | e of Reporting Pe | erson | Date | | | | | | | | | | |

Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 13, 2015.
- (2) Includes 388.2832 shares acquired under the HCA Holdings, Inc. Employee Stock Purchase Plan.
- (3) Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (4) The option vested in equal increments at the end of fiscal years 2007, 2008, and 2009 based upon the achievement of certain annual EBITDA performance targets.
- (5) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.