Dr Pepper Snapple Group, Inc.

Form 4

March 04, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Hobson Derry L.

> (First) (Middle)

5301 LEGACY DRIVE

(Street)

**PLANO, TX 75070** 

2. Issuer Name and Ticker or Trading

Symbol

Dr Pepper Snapple Group, Inc. [DPS]

3. Date of Earliest Transaction

(Month/Day/Year) 03/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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burden hours per

below) **Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/02/2015		J <u>(1)</u>	12,628	A	\$ 0	12,628	D	
Common Stock	03/02/2015		J(2)	1,202	A	\$ 0	13,830	D	
Common Stock	03/02/2015		F	5,318 (3)	D	\$ 0	8,512	D	
Common Stock	03/02/2015		M	9,613	A	\$ 37.8	18,125	D	
Common Stock	03/04/2015		M	8,188	A	\$ 43.82	26,313	D	

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Common Stock	03/04/2015	M	10,346	A	\$ 51.68	36,659	D
Common Stock	03/04/2015	S	28,147	D	\$ 78.0874 (4)	8,512	D
Common Stock	03/04/2015	S	8,512	D	\$ 78.082 (5)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Restricted Stock Unit	\$ 0 (6)	03/02/2015		<u>J(1)</u>		12,628	<u>(1)</u>	<u>(7)</u>	Common Stock	12,0
Employee Stock Option (Right to Buy)	\$ 79.2	03/02/2015		A	19,522		<u>(8)</u>	03/02/2025	Common Stock	19,:
Restricted Stock Unit	\$ 0 (6)	03/02/2015		A	3,409		<u>(7)</u>	<u>(7)</u>	Common Stock	3,4
Employee Stock Option (Right to Buy)	\$ 37.8	03/04/2015		M		9,613	<u>(9)</u>	03/02/2022	Common Stock	9,6
Employee Stock Option (Right to Buy)	\$ 43.82	03/04/2015		M		8,188	(10)	03/04/2023	Common Stock	8,1
Employee	\$ 51.68	03/04/2015		M		10,346	<u>(11)</u>	03/03/2024	Common	10,3

Stock Stock

Option (Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hobson Derry L. 5301 LEGACY DRIVE PLANO, TX 75070

**Executive Vice President** 

## **Signatures**

Wayne R. Lewis, attorney in fact

03/04/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 2, 2015.
- These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 2, 2015.
- (3) Represents shares withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of restricted stock units referenced in footnotes (1) and (2) above.
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$77.67-\$78.79. The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$77.67-\$78.73. The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (6) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (7) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (8) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 2, 2016.
- (9) This option was granted pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
- (10) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 4, 2014.
- (11) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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