Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 March 04, 2015

Common

Common

Stock

Stock

03/03/2015

03/03/2015

March 04, 20	15										
FORM Check this	s box	TATES S		ITIES AN hington, l			NGE (COMMISSION	OMB Number:	PPROVAL 3235-0287 January 31,	
if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	5. Filed pursu nue. ction	uant to Se) of the Pu	ection 16 iblic Uti	SECURI (a) of the	TIES Securiti ing Com	es Ex pany	chang Act o	YNERSHIP OF ge Act of 1934, f 1935 or Sectio 40	Expires: Estimated a burden hou response	irs per	
	ddress of Reporting Po	S I	Symbol	Name and T er Snapple			g	5. Relationship of Issuer (Cheo	f Reporting Per ck all applicable		
(Last) 5301 LEGA	. ,	(6. Date of 3 Month/Da 03/02/20	•	nsaction			X Director Officer (give below)		6 Owner er (specify	
	(Street)			dment, Date h/Day/Year)	e Original			6. Individual or Jo Applicable Line) _X_ Form filed by	-	-	
PLANO, TX	75024								More than One Re		
(City)	(State) (Z	Zip)	Table	I - Non-De	rivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D 4 and (A) or) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	rson porting	
Common Stock	03/02/2015			J <u>(1)</u>	3,571	A	\$ 0	3,571	D		
Common Stock	03/02/2015			J <u>(2)</u>	339	А	\$0	3,910	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

J(3)

J(3)

3,910 D

3,910 A

\$0 0

\$0 6,685

D

Ι

M. Anne

Szostak

Trust (4)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securiti Acquire	es ed (A) or ed of (D)	6. Date Exer Expiration E (Month/Day.	Date	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0 <u>(5)</u>	03/02/2015		J <u>(1)</u>		3,571	(1)	(6)	Common Stock	3,571
Restricted Stock Unit	\$ 0 <u>(5)</u>	03/02/2015		А	1,957		(6)	(6)	Common Stock	1,957

Reporting Owners

**Signature of Reporting Person

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
SZOSTAK M ANNE 5301 LEGACY DR. PLANO, TX 75024	Х			
Signatures				
Wayne R. Lewis, attorney	0	3/04/2015		

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 2, 2015.
- (2) These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 2, 2015.
- (3) On March 3, 2015 the reporting person directed that the 3,910 shares beneficially owned by reporting person be transferred to the M. Anne Szostak Trust, in which the reporting person has a pecuniary interest.
- In addition to the 6,685 shares beneficially owned by the M. Anne Szostak Trust, 14,045 shares are beneficially owned by the Szostak DR. Pepper GRAT, in which the reporting person has a pecuniary interest.

in fact

- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (6) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.