Edgar Filing: GENWORTH FINANCIAL INC - Form 4

GENWORTH FINANCIAL INC

Form 4

February 10, 2015

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

January 31,

Expires: Estimated average

2005

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Bobitz Ward E.

5. Relationship of Reporting Person(s) to Issuer

Symbol

GENWORTH FINANCIAL INC

(Check all applicable)

[GNW]

02/09/2015

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Director _X__ Officer (give title

10% Owner Other (specify

C/O GENWORTH FINANCIAL,

(Street)

INC., 6620 WEST BROAD STREET

6. Individual or Joint/Group Filing(Check

EVP and General Counsel

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

RICHMOND, VA 23230

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative S	Securi	ities Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquirec Disposed (Instr. 3,	l (A) of (D) 4 and (A) or	0) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/09/2015		M	733	A	(<u>1</u>)	9,504	D	
Class A Common Stock	02/09/2015		F	282 (2)	D	\$ 7.8	9,222	D	
Class A Common Stock							163.1701	I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti		6. Date Exer Expiration D		7. Title and L Underlying S		8. Price Derivat
Security (Instr. 3)	or Exercise Price of Derivative Security	(any (Month/Day/Year)	Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day		(Instr. 3 and		Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/09/2015		M	733	(3)	(3)	Class A Common Stock	733	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships
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10% Owner Officer Director Other

Bobitz Ward E. C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

EVP and General Counsel

Signatures

/s/ Christine A. Ness, by power of attorney

02/10/2015

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock **(2)** Units that vested on February 9, 2015.
- (3) Restricted Stock Units vested and converted to Class A Common Stock on 02/09/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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