

ADVANCED ENERGY INDUSTRIES INC

Form 4

February 09, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Trupkiewicz William George

(Last) (First) (Middle)

1625 SHARP POINT DRIVE

(Street)

FORT COLLINS, CO 80525

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
ADVANCED ENERGY
INDUSTRIES INC [AEIS]

3. Date of Earliest Transaction
(Month/Day/Year)
02/05/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/05/2015		A	(A) or (D) 1,187 (1)	\$ 0 2,938 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 26.32	02/05/2015		A		6,558 (3)		02/05/2016	02/05/2026	Common Stock	6,558
Employee Stock Units	\$ 0	02/05/2015		A	V	0		(4)	(4)	Common Stock	2,374

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Trupkiewicz William George 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Chief Accounting Officer

Signatures

/s/ Thomas O. McGimpsey
(Attorney-in-Fact) 02/09/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee Restricted Stock Units granted on 2/5/2015 under the Company's 2105 Long Term Incentive Plan (LTI Plan) will vest in three equal installments beginning on the first anniversary date of the grant.
- (2) Represents 2,938 shares granted as Restricted Stock Units (RSUs). As of the transaction date, the restricted stock units have vested as to 1,751 shares.
- (3) The employee stock options granted on 2/5/2015 under the Company's 2015 LTI Plan will vest in three equal installments beginning on the first anniversary date of the grant.
- These performance shares are related to the 2015 reporting year. If the metrics for the reporting year have not been met under the
- (4) Company's 2015 LTI Plan as determined by the Compensation Committee, the unvested performance units for the 2015 year will expire immediately.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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