

Five9, Inc.  
Form 4  
October 31, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Mosaic Venture Partners II Limited Partnership

(Last) (First) (Middle)

6300 NORTHAM DRIVE,

(Street)

MISSISSAUGA,  
ONTARIO, A6 L4V 1H7

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Five9, Inc. [FIVN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/29/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 10/29/2014                           |  | J                              |   | 6,307,484   | D  | \$ 0 0  |
|                                 |                                      |  |                                |   |   | D <sup>(1)</sup>   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                         |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Mosaic Venture Partners II Limited Partnership<br>6300 NORTHAM DRIVE<br>MISSISSAUGA, ONTARIO, A6 L4V 1H7                         |               | X         |         |       |
| Samuel David G.<br>C/O BIRCH HILL EQUITY PARTNERS 100<br>WELLINGTON ST, W. SUITE 2300, PO BOX 22<br>TORONTO, ONTARIO, A6 M5K 1A1 |               | X         |         |       |
| 1369904 Ontario, Inc.<br>C/O MOSAIC VENTURE PARTNERS II, L.P.<br>6300 NORTHAM DRIVE<br>MISSISSAUGA, ONTARIO, A6 L4V 1H7          |               | X         |         |       |
| Lobo Vernon F<br>C/O MOSAIC VENTURE PARTNERS II, L.P.<br>6300 NORTHAM DRIVE<br>MISSISSAUGA, ONTARIO, A6 L4V 1H7                  |               | X         |         |       |

## Signatures

|  |            |
|--|------------|
| /s/ Vernon Lobo, authorized signatory of 1369904 Ontario Inc., the general partner of the Reporting Person | 10/31/2014 |
| __Signature of Reporting Person  | Date       |
| /s/ Frances Dea, attorney-in-fact  | 10/31/2014 |
| __Signature of Reporting Person  | Date       |
| /s/ Vernon Lobo, authorized signatory of the Reporting Owner   | 10/31/2014 |
| __Signature of Reporting Person  | Date       |
| /s/ Vernon Lobo  | 10/31/2014 |
| __Signature of Reporting Person  | Date       |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mosaic Venture Partners II, Limited Partnership ("Mosaic") was the record owner of these shares. 1369904 Ontario, Inc. ("Ontario"), as the general partner of Mosaic, had voting and investment control of the shares held by Mosaic. David Samuel and Vernon Lobo are

(1) Managing Directors of Ontario and may have been deemed to share voting and investment power with respect to the shares held by Mosaic. Mr. Samuel and Mr. Lobo disclaimed beneficial ownership of all shares held by Mosaic except to the extent of their pecuniary interest therein.

### Remarks:

Five9, Inc. shares were distributed out to various partners by Mosaic Venture Partners II Limited Partnership

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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