Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 October 14, 2014

October 14, 2	2014								
FORM	14 UNITED STAT	ES SECURITIES	S AND EXCHA	ANGE C	OMMISSION	OMB AF OMB	PROVAL		
Washington, D.C. 20549						Number:	3235-0287		
Check the if no long	ar					Expires:	January 31,		
subject to Section 1 Form 4 o Form 5 obligation may cont	5 STATEMENT 6. or Filed pursuant ns Section 17(a) of t	Exchange by Act of	e Act of 1934, 1935 or Sectior	Estimated a burden hour response					
<i>See</i> Instruction 1(b). 30(h) of the Investment Company Act of 1940									
(Print or Type I	Responses)								
1. Name and A Goone Davi	Address of Reporting Person id S	2. Issuer Name Symbol	2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
		Intercontinent	al Exchange, In	c. [ICE]	(Check all applicable)				
(Last) (First) (Middle) 3. Date of E			t Transaction		(eneri an apprendie)				
2100 DIVE	DEDGE	-	(Month/Day/Year)			Director 10% Owner X_ Officer (give title Other (specify			
2100 RIVEI PARKWAY	, SUITE 500	10/09/2014			below) below) Chief Strategic Officer				
	(Street)	4. If Amendment	. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
ATLANTA	, GA 30328	Filed(Month/Day/	Year)		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)					D (* * 11	0		
	· · · · · · ·				uired, Disposed of,				
1.Title of Security (Instr. 3)	any	tion Date, if Transa Code	Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			(A) or V Amount (D) 7,388	Price \$	Transaction(s) (Instr. 3 and 4)				
Stock	10/09/2014	А	(1) A	203.01	28,365 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Goone David S 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328			Chief Strategic Officer				
Signatures							
/s/ Andrew J. Surdykowski, Attorney-in-fact		10/14/2014					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock issued to the filing person on October 9, 2014. This award of restricted stock vests over three years (1/3 on each anniversary of the award date).

The common stock number referred in Table I is an aggregate number and represents 12,752 shares of common stock, 7388 unvested restricted stock units, and 8,225 unvested performance based restricted stock units, for which the performance period has been satisfied.

(2) The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2014 performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2015 and will be reported at that time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.