ANDERSONS INC Form 4

October 02, 2014 FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person * Waggoner Thomas Lowell			2. Issuer Name and Ticker or Trading Symbol ANDERSONS INC [ANDE]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check air applicable)		
			(Month/Day/Year)	Director 10% Owner		
P O BOX 119			10/01/2014	_X_ Officer (give title Other (specify below)		
				President, Turf & Specialty		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
MAUMEE, OH 43537				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	10/01/2014		F	19	D	\$ 52	29,133.1212	D	
COMMON STOCK	10/01/2014		F	19	D	\$ 63.12	29,114.1212	D	
COMMON STOCK	10/01/2014		F	19	D	\$ 65.67	29,095.1212	D	
PERFORMANCE SHARE UNIT (2015)							3,750 (1)	D	
PERFORMANCE SHARE UNIT							2,475 (2)	D	

(2016)

**PERFORMANCE SHARE UNIT** (2017)

 $2,570 \frac{(1)}{2}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber	
Security	or Exercise		any	Code	of
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative
	Derivative				Securities
	Security				Acquired
					(A) or
					Disposed
					of (D)
					(Instr. 3,

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

Derivative

Amount

Date Expiration Exercisable Date

Title Number of Shares

Code V (A) (D)

**COMMON** 03/01/2011 04/01/2015 5,025 **STOCK** 

**SOSAR** \$ 21.83

8.

De

Sec

(In

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

10% Owner Director Officer Other

Waggoner Thomas Lowell P O BOX 119

President, Turf & Specialty

MAUMEE, OH 43537

**Signatures** 

Thomas Waggoner, by: Mary Schroeder, Limited Power of

Attorney

10/02/2014

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from (2) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.