ANDERSONS INC

Form 4 July 01, 2014

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad McKinstray N		rting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol ANDERSONS INC [ANDE]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)			
			(Month/Day/Year)	Director 10% Owner			
P O BOX 119			06/30/2014	_X_ Officer (give title Other (specify below)			
				President, Ethanol Group			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
MAUMEE, C	ЭН 43537			_X_ Form filed by One Reporting Person Form filed by More than One Reportin Person			

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
COMMON STOCK	(	06/30/2014		F		23	D	\$ 61.85	45,198.0828	D	
COMMON STOCK	(	06/30/2014		F		23	D	\$ 47.36	45,175.0828	D	
COMMON STOCK	(	06/30/2014		F		23	D	\$ 51.79	45,152.0828	D	
PERFORMANO SHARE UNIT (2015)	CE								3,705 <u>(1)</u>	D	
PERFORMANO SHARE UNIT	CE								2,475 <u>(2)</u>	D	

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

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Number:

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response...

Estimated average

burden hours per

(2016)

**PERFORMANCE SHARE UNIT** (2017)

2,950 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Security or Exercise Code (Instr. 3) Price of (Instr. 8) Derivative (Month/Day/Year) Derivative Security

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

Securities

5.

of

Amount

Date Expiration Exercisable Date

Title Number

of Shares 8.

De

Sec

(In

Code V (A) (D)

**COMMON SOSAR** \$ 21.83 03/01/2011 04/01/2015 3,338 **STOCK** 

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

10% Owner Director Officer Other

McKinstray Neill C P O BOX 119

President, Ethanol Group

MAUMEE, OH 43537

**Signatures** 

Neill McKinstray, by Mary Schroeder, Limited Power of Attorney

07/01/2014

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from (2) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.