ANDERSONS INC

Form 4 May 16, 2014

# FORM 4

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

**SECURITIES** Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

(Print or Type Responses)

1. Name and **ANDERS** 

(State)

(Zip)

2. Transaction Date 2A. Deemed

480 W D

(Last)

(City)

(Instr. 3)

1. Title of Security

**SHARE UNIT** 

(2016)

**MAUME** 

(Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response... 0.5

ANDERSONS INC [ANDE]	
(First) (Middle) 3. Date of Earliest Transaction	(Check all applicable)
DUSSEL DR 04/22/2014 -	_X Director 10% Owner Officer (give title Other (specify elow)
Filed(Month/Day/Year) A	. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person
FE. OH 43537 -	Form filed by More than One Reporting Person

<b>Table I - Non-Derivative</b>	Securities Acquired	Disposed of or	r Reneficially Owned
Table 1 - Mull-Delivative	Securines Acquired	. Disposeu oi, o	i Denenciany Owned

5. Amount of

Securities

 $16,800 \frac{(3)}{}$ 

 $13,400^{(2)}$ 

6.

D

D

Ownership

4. Securities Acquired

		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3, Amount	(A) or	5) Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)
COMMON STOCK	04/22/2014		<u>J(1)</u>	65.92	A	\$ 63.73	431,201.927	D	
COMMON STOCK							150,138	I	Mrs. Carol H. Anderson-spot
PERFORMANCE SHARE UNIT (2015)							25,500 (2)	D	
PERFORMANCE									

7. Nature of Indir

Beneficial Owner

**PERFORMANCE SHARE UNIT** (2017)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
ANDERSON MICHAEL J 480 W DUSSEL DR	X		Chairman, President and CEO				
MAUMEE, OH 43537							

# **Signatures**

Michael Anderson, by: Mary J. Schroeder, Limited Power of 05/15/2014 Attorney

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reinvestment of dividend
- Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.

Reporting Owners 2

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Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.