Edgar Filing: GOODYEAR TIRE & RUBBER CO /OH/ - Form 4

GOODYEAR TIRE & RUBBER CO /OH/ Form 4 March 04, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ruocco Joseph B Issuer Symbol **GOODYEAR TIRE & RUBBER CO** (Check all applicable) /OH/ [GT] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 200 INNOVATION WAY 02/28/2014 Sr VP, Global Human Res (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **AKRON, OH 44316** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Ownership (Instr. 8) Owned Direct (D) Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 02/28/2014 Μ 30,133 Α \$21.08 132,187 D Stock \$ Common 02/28/2014 F 26.8129 26.819 D 105.368 D Stock (1)Common 02/28/2014 Μ 12,504 A \$ 12.74 117,872 D Stock \$ Common F 9.093 26.8129 D 02/28/2014 D 108,779 Stock (1) 02/28/2014 Μ 12.620 Α \$ 13.91 121.399 D

| Common Stock | | | | | | | |
|-----------------|------------|---|--------|---|----------------------|---------|---|
| Common Stock | 02/28/2014 | F | 9,466 | D | \$ 26.8129 (1) | 111,933 | D |
| Common Stock | 02/28/2014 | М | 14,352 | А | \$ 12.94 | 126,285 | D |
| Common Stock | 02/28/2014 | F | 10,494 | D | \$ 26.8129 (1) | 115,791 | D |
| Common Stock | 02/28/2014 | М | 15,967 | А | \$ 12.98 | 131,758 | D |
| Common Stock | 02/28/2014 | F | 11,687 | D | \$ 26.8129 (1) | 120,071 | D |
| Common Stock | 02/28/2014 | S | 13,217 | D | \$ 26.8129 (1) | 106,854 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisat Expiration Date (Month/Day/Yea | | 7. Title and A Underlying S (Instr. 3 and 4 | Securities |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------|---------------------------------------------------|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| 2008 Plan Option (2) | \$ 21.08 | 02/28/2014 | | М | 30,133 | 08/05/2012 <u>(3)</u> | 08/05/2018 | Common Stock | 30,133 |
| 2008 Plan Option (2) | \$ 12.74 | 02/28/2014 | | М | 12,504 | 02/23/2014 <u>(4)</u> | 02/23/2020 | Common Stock | 12,504 |

| 2008 Plan Option (2) | \$ 13.91 | 02/28/2014 | М | 12,620 | 02/22/2014(5) | 02/22/2021 | Common Stock | 12,620 |
|-------------------------------|----------|------------|---|--------|-----------------------|------------|-----------------|--------|
| 2008 Plan Option (2) | \$ 12.94 | 02/28/2014 | М | 14,352 | 02/27/2014 <u>(6)</u> | 02/27/2022 | Common Stock | 14,352 |
| 2008 Plan Option (2) | \$ 12.98 | 02/28/2014 | М | 15,967 | 02/28/2014(7) | 02/28/2023 | Common Stock | 15,96′ |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | |
|----------------------------------------------------------|----------|-----------|-------------------------|-------|
| 1 | Director | 10% Owner | Officer | Other |
| Ruocco Joseph B 200 INNOVATION WAY AKRON, OH 44316 | | | Sr VP, Global Human Res | |
| Signatures | | | | |

/s/ Bertram Bell, signing as an attorney-in-fact and agent duly authorized to execute this Form
 4 on behalf of Joseph B Ruocco pursuant to a Power of Attorney dated 08/01/08, a copy of
 which has been previously filed with the SEC.

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$26.69 to \$27.07. The price reported above reflects the weighted
 (1) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Non-Qualified Stock Option in respect of shares of common stock granted under the 2008 Performance Plan.
- (3) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (8/5/2008).
- (4) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/23/2010).
- (5) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/22/2011).
- (6) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/27/2012).
- (7) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/28/2013).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.