ANDERSONS INC Form 4/A January 24, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Time of Type Resp	Olises	·) 									
1. Name and Addre SHAH RASES		Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol ANDERSONS INC [ANDE]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(Firs	t) (Middle)	3. Date of Earliest Transaction					(Check all applicable)			
480 W.DUSSE	L DI	RIVE	(Month/Day/Year) 10/01/2013				Director 10% OwnerX Officer (give title Other (specify below) President, Rail Group				
	(Stre	et)	4. If Amendment, Date Original				6. Inc	6. Individual or Joint/Group Filing(Check			
MAIIMEE OI	1 424	527	Filed(Month/Day/Year) 10/13/2013				_X_ F	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
MAUMEE, OF	1 433	037	P				Person	erson			
(City)	(Stat	e) (Zip)	Table I - Non	-Derivativ	e Securitio	es Aco	cquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	Disposed (Instr. 3,	l (A) o l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
PERFORMAN SHARE UNIT (2016)	CE	10/01/2013		A	2,150 (1)	A	\$0	2,150 (2)	D		
COMMON STOCK								28,581.71	D		
COMMON STOCK								33,099	I	Held in Trust, Rasesh H. Shah	

Irrevocable Trust

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PERFORMANCE **SHARE UNIT** 

2,970 (3) D

(2014)

**PERFORMANCE SHARE UNIT** 

3,275 (3) D

(2015)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion or Exercise Security (Instr. 3) Price of Derivative Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired

(A) or

of (D)

Disposed

(Instr. 3, 4, and 5)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

(9-02)

Bene Own Follo Repo Trans (Insti

9. Nu

Deriv

Secu

Amount or

Expiration Title Number Date Exercisable Date of Shares

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner

Officer

Other

SHAH RASESH H 480 W.DUSSEL DRIVE MAUMEE, OH 43537

President, Rail Group

Code V (A) (D)

**Signatures** 

Rasesh H. Shah, by: Mary J. Schroeder, Limited Power of Attorney

01/24/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Original filing was for shares at target level of the plan rather than maximum level. This filing reflects the maximum level of plan.
- Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty seven months cumulative fully diluted EPS for the
- (2) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.
- (3) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.