TUESDAY MORNING CORP/DE

Form 4

January 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Becker Steven R

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

TUESDAY MORNING CORP/DE

(Check all applicable)

[TUES]

01/02/2014

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

_X__ Director Officer (give title

10% Owner Other (specify

500 CRESCENT COURT, SUITE

(Street)

230

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

DALLAS, TX 75201

| (City) | (State) | (Zip) Tak | ole I - Non- | Derivative | Secu | rities Acqui | red, Disposed of, | or Beneficial | ly Owned |
|--------------------------------------|--------------------------------------|---|--|---|---------|---------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit or Dispos (Instr. 3, 4 | ed of (| ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 01/02/2014 | | S | 11,892 | D | \$ 15.3186 | 610,216 | I | See Footnotes (1) (2) (3) (4) (5) |
| Common Stock | 01/02/2014 | | S | 11,382 | D | \$ 15.3186 | 584,053 | I | See Footnotes (1) (2) (3) (4) (6) |
| Common Stock | 01/02/2014 | | S | 1,580 | D | \$ 15.3186 | 81,062 | I | See Footnotes (1) (2) (3) (4) |

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| | | | | | | | | <u>(7)</u> |
|-----------------|------------|---|-------|---|---------------|---------|--|--|
| Common Stock | 01/02/2014 | S | 6,666 | D | \$ 15.3186 | 342,087 | I | See Footnotes (1) (2) (3) (4) (8) |
| Common Stock | 01/03/2014 | S | 7,847 | D | \$ 15.094 | 602,369 | I | See Footnotes (1) (2) (3) (4) (5) |
| Common Stock | 01/03/2014 | S | 7,511 | D | \$ 15.094 | 576,542 | I | See Footnotes (1) (2) (3) (4) (6) |
| Common Stock | 01/03/2014 | S | 1,042 | D | \$ 15.094 | 80,020 | I | See Footnotes (1) (2) (3) (4) (7) |
| Common Stock | 01/03/2014 | S | 4,400 | D | \$ 15.094 | 337,687 | I | See Footnotes (1) (2) (3) (4) (8) |
| Common Stock | | | | | | 22,240 | $ \begin{array}{c} D \underbrace{(1)}_{(4)} \underbrace{(2)}_{(9)} \underbrace{(3)}_{(4)} \\ \end{array} $ | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | le and | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amou | ınt of | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | rlying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Secur | ities | (Instr. 5) |
| | Derivative | | | | Securities | 3 | | (Instr. | . 3 and 4) | |
| | Security | | | | Acquired | | | | | |
| | • | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | Amount | |
| | | | | | | Date | Expiration | | or | |
| | | | | | | Exercisable | Date | | Number | |
| | | | | | | | of | of | | |
| | | | | Code V | (A) (D) | | | | Shares | |

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Becker Steven R 500 CRESCENT COURT, SUITE 230 X DALLAS, TX 75201

Signatures

/s/ Steven R. 01/06/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This statement is filed by and on behalf of Steven R. Becker. A managed account (the "Managed Account"), Becker Drapkin Partners (1) (QP), L.P. ("QP Fund"), Becker Drapkin Partners, L.P. ("LP Fund"), BD Partners V, L.P. ("BD Partners V") and Mr. Becker are the direct beneficial owners of the securities covered by this report.
 - Becker Drapkin Management, L.P. ("BD Management") provides investment advisory services for the Managed Account and is the general partner of each of QP Fund, LP Fund and BD Partners V. BD Management may be deemed to beneficially own securities owned
- (2) by the Managed Account, QP Fund, LP Fund and BD Partners V. BC Advisors, LLC ("BC Advisors") is the general partner of BD Management and may be deemed to beneficially own securities owned by BD Management. Mr. Becker is a co-managing member of BC Advisors and may be deemed to beneficially own securities owned by BC Advisors.
- Each reporting person states that neither the filing of this statement nor anything herein shall be deemed an admission that such person is, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Act") or otherwise, the beneficial owner of any securities covered by this statement. Each reporting person disclaims beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such person in such securities.
- Each reporting person may be deemed to be a member of a group with respect to the issuer or securities of the issuer for purposes of Section 13(d) or 13(g) of the Act. Each reporting person declares that neither the filing of this statement nor anything herein shall be construed as an admission that such person is, for the purposes of Section 13(d) or 13(g) of the Act or any other purpose, a member of a group with respect to the issuer or securities of the issuer.
- (5) Represents shares directly beneficially owned by the Managed Account and sold pursuant to a 10b5-1 plan.
- (6) Represents shares directly beneficially owned by QP Fund and sold pursuant to a 10b5-1 plan.
- (7) Represents shares directly beneficially owned by LP Fund and sold pursuant to a 10b5-1 plan.
- (8) Represents shares directly beneficially owned by BD Partners V and sold pursuant to a 10b5-1 plan.
- (9) Includes 5,054 shares of restricted stock which vest on November 29, 2014, or immediately prior to the issuer's next annual meeting of stockholders, subject to the terms of the issuer's 2008 Long-Term Equity Incentive Plan and the applicable award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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