

FORD MOTOR CO

Form 3

August 06, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Thai-Tang Hau N

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/01/2013

3. Issuer Name **and** Ticker or Trading Symbol
FORD MOTOR CO [F]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

ONE AMERICAN ROAD

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Group Vice President6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

DEARBORN,Â MIÂ 48126

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, \$0.01 par value

15,923

D

Â

Common Stock, \$0.01 par value

3,507

I

By Company Plan

Common Stock, \$0.01 par value

134,721

I

By Spouse as Trustee ⁽¹⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
BEP Ford Stock Fund Units	Â (2)	Â (2)	Common Stock, \$0.01 par value	71	\$ (2)	D	Â
Employee Stock Option (Right to Buy)	Â (3)	03/10/2015	Common Stock, \$0.01 par value	25,000	\$ 12.49	D	Â
Employee Stock Option (Right to Buy)	Â (4)	03/09/2016	Common Stock, \$0.01 par value	30,000	\$ 7.83	D	Â
Employee Stock Option (Right to Buy)	Â (5)	03/04/2017	Common Stock, \$0.01 par value	23,123	\$ 7.55	D	Â
Employee Stock Option (Right to Buy)	Â (6)	03/04/2018	Common Stock, \$0.01 par value	33,547	\$ 6.14	D	Â
Employee Stock Option (Right to Buy)	Â (7)	03/02/2020	Common Stock, \$0.01 par value	14,919	\$ 12.69	D	Â
Employee Stock Option (Right to Buy)	Â (8)	03/02/2021	Common Stock, \$0.01 par value	9,734	\$ 14.76	D	Â
Employee Stock Option (Right to Buy)	Â (9)	03/04/2022	Common Stock, \$0.01 par value	37,414	\$ 12.46	D	Â
Employee Stock Option (Right to Buy)	Â (10)	03/03/2023	Common Stock, \$0.01 par value	29,821	\$ 12.75	D	Â
Ford Stock Units	Â (11)	Â (11)	Common Stock, \$0.01 par value	1,902	\$ (11)	D	Â

Ford Stock Units	Â (12)	Â (12)	Common Stock, \$0.01 par value	10,770	\$ (12)	D	Â
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Thai-Tang Hau N ONE AMERICAN ROAD DEARBORN, MI 48126	Â	Â	Â Group Vice President	Â

Signatures

Jerome F. Zaremba,
Attorney-in-Fact

08/06/2013

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by my spouse as Trustee.
These Ford Stock Fund Units were acquired under the Company's Benefit Equalization Plan. In general, these Ford Stock Fund Units will be converted and distributed to me, without payment, in cash, following termination of employment, based on the then current price of a Ford Stock Fund Unit and the then current market value of a share of Common Stock.
 - (2) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/11/2005), 66% after two years, and in full after three years.
 - (3) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/10/2006), 66% after two years, and in full after three years.
 - (4) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2007), 66% after two years, and in full after three years.
 - (5) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2008), 66% after two years, and in full after three years.
 - (6) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2010), 66% after two years, and in full after three years.
 - (7) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
 - (8) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
 - (9) This option is exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
 - (10) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2014.
 - (11) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2015.
 - (12)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.