

Worrall Blair
Form 3
February 27, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Worrall Blair

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/20/2013

3. Issuer Name **and** Ticker or Trading Symbol
MOODYS CORP /DE/ [MCO]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

SVP-Ratings Delivery and Data

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

7 WORLD TRADE
CENTER, AT 250
GREENWICH STREET

(Street)

NEW YORK, NY 10007

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

13,027

D

A

Common Stock

601 ⁽¹⁾

I

401-K

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Options (right to buy)	12/31/2005 ⁽²⁾	12/31/2014	Common Stock	3,000	\$ 43.592	D	Â
Employee Stock Options (right to buy)	02/08/2007 ⁽²⁾	02/08/2016	Common Stock	5,000	\$ 63.09	D	Â
Employee Stock Options (right to buy)	02/12/2008 ⁽²⁾	02/12/2017	Common Stock	3,750	\$ 72.715	D	Â
Employee Stock Options (right to buy)	02/12/2009 ⁽²⁾	02/12/2018	Common Stock	4,600	\$ 38.07	D	Â
Employee Stock Options (right to buy)	02/10/2010 ⁽²⁾	02/10/2019	Common Stock	5,487	\$ 25.37	D	Â
Employee Stock Options (right to buy)	02/09/2011 ⁽²⁾	02/09/2020	Common Stock	6,454	\$ 26.78	D	Â
Employee Stock Options (right to buy)	02/13/2013 ⁽²⁾	02/13/2022	Common Stock	5,809	\$ 38.61	D	Â
Employee Stock Options (right to buy)	02/13/2014 ⁽²⁾	02/13/2023	Common Stock	5,575	\$ 46.43	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Worrall Blair 7 WORLD TRADE CENTER AT 250 GREENWICH STREET NEW YORK, NY 10007	Â	Â	Â SVP-Ratings Delivery and Data	Â

Signatures

Elizabeth McCarroll, by power of attorney for Blair
Worrall

02/27/2012

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As of last statement dated December 31, 2012.

(2) One fourth of options vest each year beginning with the date indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.