McMillan Donald B. Form 4

January 16, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

burden hours per

response...

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

01/15/2013

01/15/2013

Stock

Stock

Common

1. Name and Address of Reporting Person * McMillan Donald B.			Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol SCHULMAN A INC [SHLM]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
	(Last)	(First) (M	Middle) 3. Date of	3. Date of Earliest Transaction					(Check an applicable)			
3550 W. MARKET STREET  (Street)  AKRON, OH 44333				(Month/Day/Year) 01/15/2013				Director 10% OwnerX Officer (give title Other (specify below)  CAO and Corporate Controller				
			4. If Ame	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
			Filed(Mor									
	AKKON, O						Person					
	(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-D	erivative S	Secur	ities Acq	uired, Disposed of	f, or Beneficial	y Owned		
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common	01/15/2013		М	172	٨	\$ O(1)	11 202	D			

173

317

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

D

\$ 0 (1) 11,302

10,985

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. P Der Sec (Ins

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Shares	<u>(2)</u>	01/15/2013		M	173	<u>(1)</u>	<u>(1)</u>	Common Stock	173	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McMillan Donald B. 3550 W. MARKET STREET AKRON, OH 44333

CAO and Corporate Controller

## **Signatures**

/s/ Aaron S. Berke, attorney in fact for Donald B. McMillan

01/16/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting and settlement of performance based shares of restricted stock. The performance shares settled on the third anniversary of the grant date based upon the total shareholder return on the Company's common stock relative to a per group of similar companies during the performance period.
- (2) 1-for-1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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