

WASSERMAN YUVAL

Form 4

January 06, 2012

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WASSERMAN YUVAL2. Issuer Name and Ticker or Trading  
Symbol  
ADVANCED ENERGY  
INDUSTRIES INC [AEIS]5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
1625 SHARP POINT DRIVE  
(Street)3. Date of Earliest Transaction  
(Month/Day/Year)  
01/03/2012\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
President Thin Films Bus. Unit

FORT COLLINS, CO 80525

4. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount                                                                                                             | (D)                                                                  | Price                                                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**SEC 1474  
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of<br>Derivative<br>Security | 2. Conversion<br>or Exercise | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any | 4. Transaction<br>Code | 5. Number of<br>Derivative<br>Securities | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|

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| (Instr. 3)                                        | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |        | Date<br>Exercisable | Expiration<br>Date | Title      | Amount<br>or<br>Number<br>of Shares |        |
|---------------------------------------------------|------------------------------------|------------------|------------|------------------------------------------------------------------|--------|---------------------|--------------------|------------|-------------------------------------|--------|
|                                                   |                                    |                  | Code       | V                                                                | (A)    | (D)                 |                    |            |                                     |        |
| Performance<br>Stock<br>Options<br>(right to buy) | \$ 11.02                           | 01/03/2012       | A          | V                                                                | 50,906 |                     | <u>(1)</u>         | <u>(2)</u> | Common<br>Stock                     | 50,906 |
| Performance<br>Stock<br>Options<br>(right to buy) | \$ 11.02                           | 01/03/2012       | A          | V                                                                | 50,906 |                     | <u>(1)</u>         | <u>(2)</u> | Common<br>Stock                     | 50,906 |
| Performance<br>Stock<br>Options<br>(right to buy) | \$ 11.02                           | 01/03/2012       | A          | V                                                                | 50,906 |                     | <u>(1)</u>         | <u>(2)</u> | Common<br>Stock                     | 50,906 |
| Performance<br>Units                              | <u>(3)</u>                         | 01/03/2012       | A          | V                                                                | 59,392 |                     | <u>(4)</u>         | <u>(2)</u> | Common<br>Stock                     | 59,392 |
| Performance<br>Units                              | <u>(3)</u>                         | 01/03/2012       | A          | V                                                                | 59,392 |                     | <u>(4)</u>         | <u>(2)</u> | Common<br>Stock                     | 59,392 |
| Performance<br>Units                              | <u>(3)</u>                         | 01/03/2012       | A          | V                                                                | 59,392 |                     | <u>(4)</u>         | <u>(2)</u> | Common<br>Stock                     | 59,392 |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships                    |
|---------------------------------------------------------------------|----------------------------------|
|                                                                     | Director 10% Owner Officer Other |
| WASSERMAN YUVAL<br>1625 SHARP POINT DRIVE<br>FORT COLLINS, CO 80525 | President Thin Films Bus. Unit   |

## Signatures

/s/ Thomas O. McGimpsey  
(Attorney-in-Fact) 01/06/2012

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These grants of performance options relate to the 2012, 2013 and 2014 performance periods and represent the maximum amount that may vest for each year, respectively, assuming the Company achieves the respective year's annual stretch goal for return on net assets ("RONA"). The performance options may vest fewer than the maximum amount, provided that the Company achieves positive operating

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income.

- (2) If the performance metrics for the reporting year have not been met as determined by the Compensation Committee, the unvested options and units for the applicable year will expire immediately. If any of the options vest, they will cancel ten years from the grant date.
- (3) Each performance unit represents a right to receive one share of common stock or the cash equivalent.

These awards of performance units relate to the 2012, 2013 and 2014 performance periods, respectively, and represent the maximum

- (4) amount that may vest for each year assuming the Company achieves the respective year's annual stretch goal for RONA. The performance units may vest fewer than the maximum amount, provided that the Company achieves positive operating income.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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