Schansman Raymond R Form 4 November 08, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005 Estimated average

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Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Schansman Raymond R Issuer Symbol ADTRAN INC [ADTN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 901 EXPLORER BLVD. 11/06/2011 below) Sr VP and GM, Enterprise (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

HUNTSVILLE, AL 35806

(Ctata)

(7:-

| (City)           | (State) (2                                      | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |                                     |  |                                      |                         |  |  |  |
|------------------|---|--|-----------------|-------------------------------------|--|--------------------------------------|-------------------------|--|--|--|
| 1.Title of       | 2. Transaction Date 2A. Deemed 3. 4. Securities |  |                 |                                     | 5. Amount of                                   | 6. Ownership                         | 7. Nature of            |  |  |  |
| Security         | (Month/Day/Year)                                | Execution Date, if   |                 | onAcquired (A) or                   | Securities                                     | Form: Direct                         | Indirect<br>Beneficial  |  |  |  |
| (Instr. 3)       |   | any<br>(Month/Day/Year)  | Code (Instr. 8) | Disposed of (D) (Instr. 3, 4 and 5) | Beneficially<br>Owned<br>Following             | (D) or<br>Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |  |  |  |
|                  |   |  | Code V          | (A) or Amount (D) Pr                | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                                      |                         |  |  |  |
| Common Stock (1) | 11/06/2011                                      |  | A               | 4,650 A \$                          | 0 4,650  | D                                    |                         |  |  |  |
| Common<br>Stock  |   |  |                 |                                     | 3,476.4 <u>(2)</u>                             | I                                    | by 401(k)<br>Plan       |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### Edgar Filing: Schansman Raymond R - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D  | ate         | Amou    | ınt of       | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | rlying       | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Secur   | rities       | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |             | Securities |               |             | (Instr. | . 3 and 4)   |             | Owne   |
|            | Security      |                     |                    |             | Acquired   |               |             |         |              |             | Follo  |
|            | •             |                     |                    |             | (A) or     |               |             |         |              |             | Repo   |
|            |               |                     |                    |             | Disposed   |               |             |         |              |             | Trans  |
|            |               |                     |                    |             | of (D)     |               |             |         |              |             | (Instr |
|            |               |                     |                    |             | (Instr. 3, |               |             |         |              |             |        |
|            |               |                     |                    |             | 4, and 5)  |               |             |         |              |             |        |
|            |               |                     |                    |             |            |               |             |         | A            |             |        |
|            |               |                     |                    |             |            |               |             |         | Amount       |             |        |
|            |               |                     |                    |             |            | Date          | Expiration  | T:41-   | or<br>Namel  |             |        |
|            |               |                     |                    |             |            | Exercisable   | Date        | Title   | Number       |             |        |
|            |               |                     |                    | C-1- V      | (A) (D)    |               |             |         | of<br>Shares |             |        |
|            |               |                     |                    | Code V      | (A) (I))   |               |             |         | Snares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Schansman Raymond R 901 EXPLORER BLVD. HUNTSVILLE, AL 35806

Sr VP and GM, Enterprise

### **Signatures**

Gayle S. Ellis by Power of Attorney

11/08/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance shares were awarded pursuant to grant of November 6, 2008 under the ADTRAN, Inc. 2006 Employee Stock Incentive Plan **(1)** and vested pursuant to the provisions of the award and the plan on November 6, 2011.
- The reporting person has acquired 3476.40 shares of ADTN common stock under the ADTRAN, Inc. 401(k) plan. The information in this report is based on a plan statement as of Oct. 31, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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